1	SENATE BILL 104
2	57TH LEGISLATURE - STATE OF NEW MEXICO - FIRST SESSION, 2025
3	INTRODUCED BY
4	Linda M. Trujillo and Antoinette Sedillo Lopez
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10	AN ACT
11	RELATING TO INTERGOVERNMENTAL AGREEMENTS; ENACTING THE
12	AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY INTERSTATE COMPACT.
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14	BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF NEW MEXICO:
15	SECTION 1. [ <u>NEW MATERIAL</u> ] SHORT TITLEThis act may be
16	cited as the "Audiology and Speech-Language Pathology
17	Interstate Compact".
18	SECTION 2. [ <u>NEW MATERIAL</u> ] PURPOSEThe purpose of this
19	compact is to facilitate interstate practice of audiology and
20	speech-language pathology with the goal of improving public
21	access to audiology and speech-language pathology services.
22	The practice of audiology and speech-language pathology occurs
23	in the state where the patient, client or student is located at
24	the time of the patient, client or student encounter. The
25	compact preserves the regulatory authority of states to protect
	.228861.1

public health and safety through the current system of state licensure. This compact is designed to achieve the following objectives:

A. increase public access to audiology and speechlanguage pathology services by providing for the mutual recognition of other member state licenses;

7 B. enhance the states' ability to protect the8 public's health and safety;

9 C. encourage the cooperation of member states in 10 regulating multistate audiology and speech-language pathology 11 practice;

12 D. support spouses of relocating active duty 13 military personnel;

E. enhance the exchange of licensure, investigative and disciplinary information among member states;

F. allow a remote state to hold a provider of services with a compact privilege in that state accountable to that state's practice standards; and

G. allow for the use of telehealth technology to facilitate increased access to audiology and speech-language pathology services.

SECTION 3. [<u>NEW MATERIAL</u>] DEFINITIONS.--As used in the Audiology and Speech-Language Pathology Interstate Compact and except as otherwise provided:

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A. "active duty military" means full-time duty .228861.1

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status in the active uniformed service of the United States, including members of the national guard and reserve on active duty orders pursuant to 10 U.S.C. Sections 1209 and 1211;

B. "adverse action" means any administrative, civil, equitable or criminal action permitted by a state's laws that is imposed by a licensing board or other authority against an audiologist or speech-language pathologist, including actions against an individual's license or privilege to practice, such as revocation, suspension, probation, monitoring of the licensee or restriction on the licensee's practice;

C. "alternative program" means a non-disciplinary monitoring process approved by an audiology and speech-language pathology licensing board to address impaired practitioners;

D. "audiologist" means an individual who is licensed by a state to practice audiology;

E. "audiology" means the care and services provided by a licensed audiologist as set forth in the state's statutes and rules;

F. "audiology and speech-language pathology compact commission" or "commission" means the national administrative body whose membership consists of all member states;

G. "audiology and speech-language pathology licensing board", "speech-language pathology licensing board" or "licensing board" means the agency of a state that is responsible for the licensing and regulation of audiologists or .228861.1

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H. "compact privilege" means the authorization granted by a remote state to allow a licensee from another member state to practice as an audiologist or speech-language pathologist in the remote state under the remote state's laws and rules. The practice of audiology or speech-language pathology occurs in the member state where the patient, client or student is located at the time of the patient, client or student encounter;

I. "current significant investigative information" means investigative information that a licensing board, after an inquiry or investigation that includes notification and an opportunity for the audiologist or speech-language pathologist to respond, if required by state law, has reason to believe is not groundless and, if proved true, would indicate more than a minor infraction;

J. "data system" means a repository of information about licensees, including continuing education, examination, licensure, investigative information, compact privilege and adverse action;

K. "encumbered license" means a license in which an adverse action restricts the practice of audiology and speechlanguage pathology by the licensee, and the adverse action has been reported to the national practitioner data bank;

L. "executive committee" means a group of directors .228861.1

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"home state" means the member state that is the 3 М. 4 licensee's primary state of residence;

N. "impaired practitioner" means an individual whose professional practice is adversely affected by substance 7 abuse, addiction or other health-related conditions;

8 0. "licensee" means an individual who currently 9 holds an authorization from a state licensing board to practice 10 as an audiologist or speech-language pathologist;

Ρ. "member state" means a state that has enacted the compact;

"privilege to practice" means a legal Q. authorization permitting the practice of audiology or speechlanguage pathology in a remote state;

"remote state" means a member state other than R. the home state where a licensee is exercising or seeking to exercise the compact privilege;

S. "rule" means a regulation, principle or directive promulgated by the commission that has the force of law;

"single-state license" means an audiology or т. speech-language pathology license issued by a member state that authorizes practice only within the issuing state and does not include a privilege to practice in any other member state; .228861.1 - 5 -

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1 U. "speech-language pathologist" means an 2 individual who is licensed by a state to practice speech-3 language pathology; 4 "speech-language pathology" means the care and V. 5 services provided by a licensed speech-language pathologist as 6 set forth in the member state's statutes and rules; 7 W. "state" means any state, commonwealth, district 8 or territory of the United States that regulates the practice 9 of audiology and speech-language pathology; 10 Χ. "state practice laws" means a member state's 11 laws, rules and regulations that govern the practice of 12 audiology or speech-language pathology, define the scope of 13 audiology or speech-language pathology practice and create the 14 methods and grounds for imposing discipline; and 15 "telehealth" means the application of Υ. 16 telecommunication technology to deliver audiology or speech-17 language pathology services at a distance for assessment, 18 intervention or consultation. 19 SECTION 4. [NEW MATERIAL] STATE PARTICIPATION IN THE 20 COMPACT . - -21 A license issued to an audiologist or speech-Α. 22 language pathologist by a home state to a resident in that 23 state shall be recognized by each member state as authorizing 24 an audiologist or speech-language pathologist to practice 25 audiology or speech-language pathology, under a privilege to .228861.1

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1 practice, in each member state.

2 Β. A state shall implement or utilize procedures 3 for considering the criminal history records of applicants for 4 initial privilege to practice. These procedures shall include 5 the submission of fingerprints or other biometric-based 6 information by applicants for the purpose of obtaining an 7 applicant's criminal history record information from the 8 federal bureau of investigation and the agency responsible for 9 retaining that state's criminal records and shall meet the 10 following requirements:

(1) a member state shall fully implement a criminal background check requirement, within a time frame established by rule by receiving the results of the federal bureau of investigation record search on criminal background checks and use the results in making licensure decision; and

(2) communication between a member state, the commission and among member states regarding the verification of eligibility for licensure through the compact shall not include any information received from the federal bureau of investigation relating to a federal criminal records check performed by a member state under Public Law 92-544.

C. Upon application for a privilege to practice, the licensing board in the issuing remote state shall ascertain through the data system whether the applicant has ever held, or is the holder of, a license issued by any other state, whether .228861.1

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1 there are any encumbrances on any license or privilege to 2 practice held by the applicant and whether any adverse action 3 has been taken against any license or privilege to practice 4 held by the applicant.

D. Each member state shall require an applicant to obtain or retain a license in the home state and meet the home state's qualifications for licensure or renewal of licensure and all other applicable state laws.

9 E. An audiologist seeking privilege to practice10 shall:

11 (1) meet one of the following educational
12 requirements:

(a) on or before December 31, 2007, have graduated with a master's degree or doctorate in audiology, or equivalent degree regardless of degree name from a program that is accredited by an accrediting agency recognized by the council for higher education accreditation, or its successor, or by the United States department of education and operated by a college or university accredited by a regional or national accrediting organization recognized by the licensing board;

(b) on or after January 1, 2008, have graduated with a doctoral degree in audiology, or equivalent degree, regardless of degree name, from a program that is accredited by an accrediting agency recognized by the council for higher education accreditation, or its successor, or by the .228861.1

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1 United States department of education and operated by a college 2 or university accredited by a regional or national accrediting 3 organization recognized by the licensing board; or 4 (c) have graduated from an audiology 5 program that is housed in an institution of higher education outside the United States for which: 1) the program and 6 7 institution have been approved by the authorized accrediting 8 body in the applicable country; and 2) the degree program has 9 been verified by an independent credentials review agency to be 10 comparable to a state licensing board-approved program; 11 (2) have completed a supervised clinical 12 practicum experience from an accredited educational institution 13 or its cooperating programs as required by the licensing board; 14 have successfully passed a national (3) 15 examination approved by the commission; 16 hold an active, unencumbered license; (4) 17 (5) have not been convicted or found guilty, 18 and have not entered into an agreed disposition, of a felony 19 related to the practice of audiology, under applicable state or 20 federal criminal law; and 21 (6) have a valid United States social security 22 number or national practitioner identification number. 23 A speech-language pathologist seeking privilege F. 24 to practice shall: 25 meet one of the following educational (1) .228861.1

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requirements:

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(a) have graduated with a master's
degree from a speech-language pathology program that is
accredited by an organization recognized by the United States
department of education and operated by a college or university
accredited by a regional or national accrediting organization
recognized by the licensing board; or

8 (b) have graduated from a speech-9 language pathology program that is housed in an institution of 10 higher education outside of the United States for which: 1) 11 the program and institution have been approved by the 12 authorized accrediting body in the applicable country; and 2) 13 the degree program has been verified by an independent 14 credentials review agency to be comparable to a state licensing 15 board-approved program;

(2) have completed a supervised clinical practicum experience from an educational institution or its cooperating programs as required by the commission;

(3) have completed a supervised post-graduate
professional experience as required by the commission;

(4) have successfully passed a national examination approved by the commission;

(5) hold an active, unencumbered license;(6) have not been convicted or found guilty,and have not entered into an agreed disposition, of a felony.228861.1

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1 related to the practice of speech-language pathology under 2 applicable state or federal criminal law; and 3 has a valid United States social security (7) 4 or national practitioner identification number. 5 G. The privilege to practice is derived from the 6 home state license. 7 An audiologist or speech-language pathologist н. practicing in a member state must comply with the state 8 9 practice laws of the state in which the client is located at 10 the time service is provided. The practice of audiology and 11 speech-language pathology shall include all audiology and 12 speech-language pathology practice as defined by the state 13 practice laws of the member state in which the client is 14 The practice of audiology and speech-language located. 15 pathology in a member state under a privilege to practice shall 16 subject an audiologist or speech-language pathologist to the 17 jurisdiction of the licensing board, the courts and the laws of 18 the member state in which the client is located at the time 19 service is provided.

I. Individuals not residing in a member state shall continue to be able to apply for a member state's single-state license as provided under the laws of each member state. However, the single-state license granted to these individuals shall not be recognized as granting the privilege to practice audiology or speech-language pathology in any other member .228861.1

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1 state. Nothing in this compact shall affect the requirements 2 established by a member state for the issuance of a single-3 state license. 4 J. Member states may charge a fee for granting a 5 compact privilege. Member states must comply with the bylaws and 6 Κ. 7 rules of the commission. 8 [NEW MATERIAL] COMPACT PRIVILEGE.--SECTION 5. 9 Α. To exercise the compact privilege under the 10 terms and provisions of the compact, an audiologist or speech-11 language pathologist shall: 12 hold an active license in the home state; (1)13 (2) have no encumbrance on any state license; 14 be eligible for a compact privilege in any (3) 15 member state in accordance with Section 4 of the Audiology and 16 Speech-Language Pathology Interstate Compact; 17 (4) have not had any adverse action against 18 any license or compact privilege within the previous two years 19 from date of application; 20 (5) notify the commission that the licensee is 21 seeking the compact privilege within a remote state; 22 (6) pay any applicable fees, including any 23 state fee, for the compact privilege; and 24 (7) report to the commission adverse action 25 taken by any non-member state within thirty days from the date .228861.1 - 12 -

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1 the adverse action is taken.

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Β. For the purposes of the compact privilege, an audiologist or speech-language pathologist shall only hold one home state license at a time.

C. Except as provided in Section 7 of the Audiology and Speech-Language Pathology Interstate Compact, if an 7 audiologist or speech-language pathologist changes primary 8 state of residence by moving to another member state, the audiologist or speech-language pathologist shall apply for 10 licensure in the new home state and the license issued by the 11 prior home state shall be deactivated in accordance with 12 applicable rules adopted by the commission.

D. An audiologist or speech-language pathologist may apply for licensure in advance of a change in primary state of residence.

A license shall not be issued by a new home Ε. state until the audiologist or speech-language pathologist provides satisfactory evidence of a change in primary state of residence to the new home state and satisfies all applicable requirements to obtain a license from the new home state.

F. If an audiologist or speech-language pathologist changes a primary state of residence by moving from a member state to a non-member state, the license issued by the prior home state shall convert to a single-state license, valid only in the former home state.

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1 G. A compact privilege is valid until the 2 expiration date of the home state license. A licensee must 3 comply with the requirements of Subsection A of this section to 4 maintain a compact privilege in a remote state. 5 A licensee providing audiology or speech-Η. 6 language pathology services in a remote state under a compact 7 privilege shall function within the laws and regulations of the 8 remote state. 9 I. A licensee providing audiology or speech-10 language pathology services in a remote state is subject to 11 that state's regulatory authority. A remote state may, in 12 accordance with due process and that state's laws, remove a 13 licensee's compact privilege in the remote state for a specific 14 period of time, impose fines or take any other necessary 15 actions to protect the health and safety of the remote state's 16 residents. 17 If a home state license is encumbered, the J. 18 licensee shall lose the compact privilege in any remote state 19 until the following occur: 20 (1) the home state license is no longer 21 encumbered; and

(2) two years have elapsed from the date of the adverse action.

K. Once an encumbered license in the home state is restored to good standing, the licensee shall meet the .228861.1

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requirements of Subsection A of this section to obtain a
 compact privilege in any remote state.

L. Once the requirements of Subsection J of this section have been met, the licensee must meet the requirements in Subsection A of this section to obtain a compact privilege in a remote state.

SECTION 6. [NEW MATERIAL] COMPACT PRIVILEGE TO PRACTICE TELEHEALTH.--Member states shall recognize the right of an audiologist or speech-language pathologist licensed by a home state in accordance with Section 4 of the Audiology and Speech-Language Pathology Interstate Compact and under rules promulgated by the commission, to practice audiology or speechlanguage pathology in any member state via telehealth under a privilege to practice as provided in the compact and rules promulgated by the commission.

SECTION 7. [<u>NEW MATERIAL</u>] ACTIVE DUTY MILITARY PERSONNEL OR THEIR SPOUSES.--Active duty military personnel, or the active duty military personnel's spouse, shall designate a home state where the individual has a current license in good standing. The individual may retain the home state designation during the period the service member is on active duty. Subsequent to designating a home state, the individual shall only change the home state through application for licensure in the new state.

SECTION 8. [<u>NEW MATERIAL</u>] ADVERSE ACTIONS.--.228861.1

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1 In addition to the other powers conferred by Α. 2 state law, a remote state shall have the authority, in 3 accordance with existing state due process law, to: 4 (1) take adverse action against an 5 audiologist's or speech-language pathologist's privilege to practice within that member state; 6 7 issue subpoenas for both hearings and (2) investigations that require the attendance and testimony of 8 9 witnesses as well as the production of evidence. Subpoenas 10 issued by a licensing board in a member state for the 11 attendance and testimony of witnesses or the production of 12 evidence from another member state shall be enforced in the 13 latter state by any court of competent jurisdiction according 14 to the practice and procedure of that court applicable to 15 subpoenas issued in proceedings pending before the court. The 16 issuing authority shall pay any witness fees, travel expenses, 17 mileage and other fees required by the service statutes of the 18 state in which the witnesses or evidence are located; and 19 (3) only the home state shall have the power 20 to take adverse action against an audiologist's or a speech-21 language pathologist's license issued by the home state. 22 For purposes of taking adverse action, the home Β. 23

state shall give the same priority and effect to reported conduct received from a member state as it would if the conduct had occurred within the home state. In so doing, the home .228861.1

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state shall apply its own state laws to determine appropriate 2 action.

C. The home state shall complete any pending investigations of an audiologist or speech-language pathologist who changes primary state of residence during the course of the investigations. The home state shall also have the authority to take appropriate action and shall promptly report the conclusions of the investigations to the administrator of the data system. The administrator of the data system shall promptly notify the new home state of any adverse actions.

D. If otherwise permitted by state law, the member state may recover from the affected audiologist or speechlanguage pathologist the costs of investigations and disposition of cases resulting from any adverse action taken against that audiologist or speech-language pathologist.

The member state may take adverse action based Ε. on the factual findings of the remote state, provided that the member state follows the member state's own procedures for taking the adverse action.

In addition to the authority granted to a member F. state by its respective audiology or speech-language pathology practice act or other applicable state law, any member state may participate with other member states in joint investigations of licensees. Member states shall share any investigative, litigation or compliance materials in .228861.1 - 17 -

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1 furtherance of any joint or individual investigation initiated 2 under the compact.

3 G. If adverse action is taken by the home state 4 against an audiologist's or speech language pathologist's 5 license, the audiologist's or speech-language pathologist's 6 privilege to practice in all other member states shall be 7 deactivated until all encumbrances have been removed from the 8 state license. All home state disciplinary orders that impose 9 adverse action against an audiologist's or speech language 10 pathologist's license shall include a statement that the 11 audiologist's or speech-language pathologist's privilege to 12 practice is deactivated in all member states during the 13 pendency of the order.

H. If a member state takes adverse action, it shall promptly notify the administrator of the data system. The administrator of the data system shall promptly notify the home state of any adverse actions by remote states.

I. Nothing in this compact shall override a member state's decision that participation in an alternative program may be used in lieu of adverse action.

SECTION 9. [<u>NEW MATERIAL</u>] AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY COMPACT COMMISSION--CREATED.--

A. The compact member states hereby create and establish a joint public agency known as "the audiology and speech-language pathology compact commission", subject to the .228861.1

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1 following provisions:

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2 (1)the commission is an instrumentality of 3 the compact states;

4 (2) venue is proper and judicial proceedings by or against the commission shall be brought solely and exclusively in a court of competent jurisdiction where the 7 principal office of the commission is located. The commission may waive venue and jurisdictional defenses to the extent that 8 9 it adopts or consents to participate in alternative dispute 10 resolution proceedings; and

(3) nothing in this compact shall be construed to be a waiver of sovereign immunity.

Β. Membership, voting and meetings of the commission shall proceed as follows:

each member state shall have two delegates (1)on the commission selected by that member state's licensing board. The delegates shall be current members of the licensing board. One delegate shall be an audiologist and one delegate shall be a speech-language pathologist;

an additional five delegates, who are (2) either public members or board administrators from a state licensing board, shall be chosen at large by the executive committee from a pool of nominees provided by the commission;

any delegate may be removed or suspended (3) from the commission as provided by the law of the state from .228861.1

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1 which the delegate is appointed;

2 (4) the member state's licensing board shall 3 fill any vacancy of the member state's delegates that occurs on the commission, within ninety days; 4 5 (5) each delegate shall be entitled to one 6 vote with regard to the promulgation of rules and creation of 7 bylaws and shall otherwise have an opportunity to participate 8 in the business and affairs of the commission; 9 a delegate shall vote in person or by (6) 10 other means as provided in the bylaws. The bylaws may provide 11 for delegates' participation in meetings by telephone or other 12 means of communication; and 13 the commission shall meet at least once (7) 14 during each calendar year. Additional meetings shall be held as set forth in the bylaws. 15 16 C. The commission shall: 17 establish the fiscal year of the (1) 18 commission; 19 (2) establish bylaws; 20 establish a code of ethics; (3) 21 maintain financial records in accordance (4) 22 with the bylaws; 23 (5) meet and take actions as are consistent 24 with the provisions of this compact and the bylaws; 25 (6) promulgate uniform rules to facilitate and .228861.1 - 20 -

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1 coordinate implementation and administration of this compact. The rules shall have the force and effect of law and shall be 2 3 binding in all member states; 4 (7) bring and prosecute legal proceedings or 5 actions in the name of the commission; provided that the 6 standing of any state audiology and speech-language pathology 7 licensing board to sue or be sued under applicable law shall 8 not be affected; 9 purchase and maintain insurance and bonds; (8) 10 (9) borrow, accept or contract for services of 11 personnel, including employees of a member state; 12 (10) hire employees, elect or appoint 13 officers, fix compensation, define duties and grant individuals 14 appropriate authority to carry out the purposes of the compact 15 and establish the commission's personnel policies and programs 16 relating to conflicts of interest, qualifications of personnel 17 and other related personnel matters; 18 (11)accept any and all appropriate donations 19 and grants of money, equipment, supplies, materials and 20 services and receive, utilize and dispose of the same; provided 21 that at all times the commission shall avoid any appearance of 22 impropriety or conflict of interest; 23 lease, purchase, accept appropriate gifts (12)24 or donations of, or otherwise to own, hold, improve or use, any 25 property, real, personal or mixed; provided that at all times .228861.1

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1	the commission shall avoid any appearance of impropriety;
2	(13) sell, convey, mortgage, pledge, lease,
3	exchange, abandon or otherwise dispose of any property, real,
4	personal or mixed;
5	(14) establish a budget and make expenditures;
6	(15) borrow money;
7	(16) appoint committees, including standing
8	committees composed of members and other interested persons as
9	may be designated in this compact and in the bylaws;
10	(17) provide and receive information from, and
11	cooperate with, law enforcement agencies;
12	(18) establish and elect an executive
13	committee; and
14	(19) perform other functions as may be
15	necessary or appropriate to achieve the purposes of this
16	compact consistent with the state regulation of audiology and
17	speech-language pathology licensure and practice.
18	D. The executive committee shall have the power to
19	act on behalf of the commission according to the terms of this
20	compact and shall be composed of ten members as follows:
21	(1) seven voting members who are elected by
22	the commission from the current membership of the commission;
23	(2) two non-voting ex-officio members, one a
24	member from a recognized national audiology professional
25	association and one a member from a recognized national speech-
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1 language pathology association; and

2 (3) one non-voting ex-officio member from the
3 recognized membership organization of the audiology and speech4 language pathology licensing boards.

5 E. The ex-officio members of the executive
6 committee shall be selected by their respective organizations.

F. The commission may remove any member of the
executive committee as provided in the bylaws.

9 G. The executive committee shall meet at least10 annually.

H. The executive committee shall have the following duties and responsibilities to:

(1) recommend to the commission changes to the rules or bylaws, changes to this compact legislation, fees paid by compact member states such as annual dues and any commission compact fee charged to licensees for the compact privilege;

(2) ensure that compact administrationservices are appropriately provided, contractual or otherwise;

(3) prepare and recommend a budget;

establish additional committees as

20 (4) maintain financial records on behalf of 21 the commission;

(5) monitor compact compliance of member states and provide compliance reports to the commission;

25 necessary; and

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1 carry out other duties as provided in the (7) 2 rules or bylaws. 3 All meetings of the commission shall be open to I. 4 the public, and public notice of meetings shall be given in the 5 same manner as required under the rulemaking provisions in Section 12 of the Audiology and Speech-Language Pathology 6 7 Interstate Compact. 8 The commission or the executive committee or J. 9 other committees of the commission may convene in a closed, 10 nonpublic meeting if the commission or executive committee or 11 other committees of the commission must discuss: 12 noncompliance of a member state with its (1)13 obligations under the compact; 14 the employment, compensation, discipline (2) 15 or other matters, practices or procedures related to specific 16 employees or other matters related to the commission's internal 17 personnel practices and procedures; 18 (3) current, threatened or reasonably 19 anticipated litigation; 20 negotiation of contracts for the purchase, (4) 21 lease or sale of goods, services or real estate; 22 accusations made of any person of a crime (5) 23 or formal censuring of any person; 24 disclosure of trade secrets or commercial (6) 25 or financial information that is privileged or confidential; .228861.1

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1 disclosure of information of a personal (7) nature where disclosure would constitute a clearly unwarranted 2 3 invasion of personal privacy; 4 disclosure of investigative records (8) 5 compiled for law enforcement purposes; 6 (9) disclosure of information related to any 7 investigative reports prepared by or on behalf of or for use of 8 the commission or other committee charged with responsibility 9 of investigation or determination of compliance issues pursuant 10 to the compact; or 11 (10)matters specifically exempted from 12 disclosure by federal or member state statute. 13 If a meeting of the commission, executive Κ. 14 committee or other committee of the commission, or portion of a 15 meeting, is closed pursuant to Subsection J of this section, 16 the commission's legal counsel or designee shall certify that 17 the meeting may be closed and shall reference each relevant 18 exempting provision. 19 L. The commission shall keep minutes that fully and 20 clearly describe all matters discussed in a meeting and shall 21 provide a full and accurate summary of actions taken, and the 22 reasons therefor, including a description of the views 23 expressed. All documents considered in connection with an 24 action shall be identified in the minutes. All minutes and 25 documents of a closed meeting shall remain under seal, subject .228861.1

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1 to release by a majority vote of the commission or order of a 2 court of competent jurisdiction.

The commission shall pay, or provide for the М. payment of, the reasonable expenses of its establishment, organization and ongoing activities. The commission may accept any and all appropriate revenue sources, donations and grants of money, equipment, supplies, materials and services. The commission may levy on and collect an annual assessment from each member state or impose fees on other parties to cover the cost of the operations and activities of the commission and its staff, which must be in a total amount sufficient to cover its annual budget as approved each year for which revenue is not provided by other sources. The aggregate annual assessment amount shall be allocated based upon a formula to be determined by the commission, which shall promulgate a rule binding upon all member states.

N. The commission shall not incur obligations of any kind prior to securing the funds adequate to meet the obligations; nor shall the commission pledge the credit of any of the member states, except by and with the authority of the member state.

0. The commission shall keep accurate accounts of all receipts and disbursements. The receipts and disbursements of the commission shall be subject to the audit and accounting procedures established under its bylaws. However, all receipts .228861.1

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and disbursements of funds handled by the commission shall be audited yearly by a certified or licensed public accountant, and the report of the audit shall be included in and become part of the annual report of the commission.

SECTION 10. [<u>NEW MATERIAL</u>] QUALIFIED IMMUNITY, DEFENSE AND INDEMNIFICATION.--

A. The members, officers, executive director, employees and representatives of the commission shall be immune from suit and liability, either personally or in their official capacity, for any claim for damage to or loss of property or personal injury or other civil liability caused by or arising out of any actual or alleged act, error or omission that occurred, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of commission employment, duties or responsibilities; provided that nothing in this subsection shall be construed to protect any person from suit or liability for any damage, loss, injury or liability caused by the intentional or willful or wanton misconduct of that person.

B. The commission shall defend any member, officer, executive director, employee or representative of the commission in any civil action seeking to impose liability arising out of any actual or alleged act, error or omission that occurred within the scope of commission employment, duties or responsibilities, or that the person against whom the claim .228861.1

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is made had a reasonable basis for believing occurred within the scope of commission employment, duties or responsibilities; provided that nothing in this subsection shall be construed to prohibit that person from retaining that person's own counsel; and further provided that the actual or alleged act, error or omission did not result from that person's intentional or willful or wanton misconduct.

C. The commission shall indemnify and hold harmless any member, officer, executive director, employee or representative of the commission for the amount of any settlement or judgment obtained against that person arising out of any actual or alleged act, error or omission that occurred within the scope of commission employment, duties or responsibilities, or that the person had a reasonable basis for believing occurred within the scope of commission employment, duties or responsibilities; provided that the actual or alleged act, error or omission did not result from the intentional or willful or wanton misconduct of that person.

SECTION 11. [NEW MATERIAL] DATA SYSTEM.--

A. The commission shall provide for the development, maintenance and utilization of a coordinated database and reporting system containing licensure, adverse action and investigative information on all licensed individuals in member states.

B. Notwithstanding any other provision of state law .228861.1

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1 to the contrary, a member state shall submit a uniform data set 2 to the data system on all individuals to whom this compact is 3 applicable as required by the rules of the commission, 4 including: 5 identifying information; (1)6 (2) licensure data: 7 adverse actions against a license or (3) 8 compact privilege; 9 (4) non-confidential information relating to 10 alternative program participation; any denial of application for licensure, 11 (5) 12 and the reason for denial; and 13 other information that may facilitate the (6) 14 administration of this compact, as determined by the rules of 15 the commission. 16 C. Investigative information pertaining to a 17 licensee in any member state shall only be available to other 18 member states. 19 D. The commission shall promptly notify all member 20 states of any adverse action taken against a licensee or an 21 individual applying for a license. Adverse action information 22 pertaining to a licensee in any member state shall be available 23 to any other member state. 24 Member states contributing information to the Ε. 25 data system may designate information that may not be shared .228861.1 - 29 -

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with the public without the express permission of the
 contributing state.

F. Any information submitted to the data system that is subsequently required to be expunged by the laws of the member state contributing the information shall be removed from the data system.

SECTION 12. [<u>NEW MATERIAL</u>] RULEMAKING.--

A. The commission shall exercise its rulemaking powers pursuant to the criteria set forth in this section and the rules adopted thereunder. Rules and amendments shall become binding as of the date specified in each rule or amendment.

B. If a majority of the legislatures of the member states rejects a rule, by enactment of a statute or resolution in the same manner used to adopt the compact within four years of the date of adoption of the rule, the rule shall have no further force and effect in any member state.

C. Rules or amendments to the rules shall be adopted at a regular or special meeting of the commission.

D. Prior to promulgation and adoption of a final rule by the commission, and at least thirty days in advance of the meeting at which the rule shall be considered and voted upon, the commission shall file a notice of proposed rulemaking:

(1) on the website of the commission or other.228861.1

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1 publicly accessible platform; and on the website of each member state's 2 (2) 3 audiology and speech-language pathology licensing board or other publicly accessible platform or the publication in which 4 5 each state would otherwise publish proposed rules. 6 Ε. The notice of proposed rulemaking shall include: 7 the proposed time, date and location of (1) the meeting in which the rule shall be considered and voted 8 9 upon; 10 the text of the proposed rule or amendment (2) and the reason for the proposed rule; 11 12 a request for comments on the proposed (3) 13 rule from any interested person; and 14 the manner in which interested persons may (4) 15 submit notice to the commission of their intention to attend 16 the public hearing and any written comments. 17 Prior to the adoption of a proposed rule, the F. 18 commission shall allow persons to submit written data, facts, 19 opinions and arguments, which shall be made available to the 20 public. 21 G. The commission shall grant an opportunity for a 22 public hearing before it adopts a rule or amendment if a 23 hearing is requested by: 24 (1) at least twenty-five persons; 25 (2) a state or federal governmental .228861.1 - 31 -

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1 subdivision or agency; or

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(3) an association having at least twenty-five members.

H. If a hearing is held on the proposed rule or amendment, the commission shall publish the place, time and date of the scheduled public hearing. If the hearing is held via electronic means, the commission shall publish the mechanism for access to the electronic hearing and the procedure for hearings shall be conducted according to the following provisions:

(1) all persons wishing to be heard at the hearing shall notify the executive director of the commission or other designated member in writing of their desire to appear and testify at the hearing not less than five business days before the scheduled date of the hearing;

(2) hearings shall be conducted in a manner that provides each person who wishes to comment a fair and reasonable opportunity to comment orally or in writing;

(3) all hearings shall be recorded and a copy of the recording shall be made available on request; and

(4) nothing in this section shall be construed as requiring a separate hearing on each rule. Rules may be grouped for the convenience of the commission at hearings required by this section.

I. Following the scheduled hearing date, or by the .228861.1

- 32 -

close of business on the scheduled hearing date if the hearing was not held, the commission shall consider all written and oral comments received.

J. If no written notice of intent to attend the public hearing by interested parties is received, the commission may proceed with promulgation of the proposed rule without a public hearing.

K. The commission shall, by majority vote of all members, take final action on the proposed rule and shall determine the effective date of the rule, if any, based on the rulemaking record and the full text of the rule.

L. Upon determination that an emergency exists, the commission may consider and adopt an emergency rule without prior notice and an opportunity for comment or hearing; provided that the usual rulemaking procedures provided in the compact and in this section shall be retroactively applied to the rule as soon as reasonably possible, but in no event later than ninety days after the effective date of the rule. For the purposes of this provision, an emergency rule is one that must be adopted immediately in order to:

(1) meet an imminent threat to public health,safety or welfare;

(2) prevent a loss of commission or member state funds; or

(3) meet a deadline for the promulgation of an.228861.1

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1 administrative rule that is established by federal law or rule.

2 М. The commission or an authorized committee of the 3 commission may direct revisions to a previously adopted rule or 4 amendment for purposes of correcting typographical errors, 5 errors in format, errors in consistency or grammatical errors. 6 Public notice of any revisions shall be posted on the website 7 of the commission. The revision shall be subject to challenge 8 by any person for a period of thirty days after posting. The 9 revision may be challenged only on grounds that the revision 10 results in a material change to a rule. A challenge shall be 11 made in writing and delivered to the chair of the commission 12 prior to the end of the notice period. If no challenge is 13 made, the revision shall take effect without further action. If 14 the revision is challenged, the revision may not take effect 15 without the approval of the commission.

SECTION 13. [<u>NEW MATERIAL</u>] OVERSIGHT, DISPUTE RESOLUTION AND ENFORCEMENT.--

A. Upon request by a member state, the commission shall attempt to resolve disputes related to the compact that arise among member states and between member and non-member states.

B. The commission shall promulgate a rule providing for both mediation and binding dispute resolution for disputes as appropriate.

C. The commission, in the reasonable exercise of .228861.1

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1 its discretion, shall enforce the provisions and rules of this
2 compact.

3 By majority vote, the commission may initiate D. legal action in the United States district court for the 4 5 District of Columbia or the federal district where the commission has its principal offices against a member state in 6 7 default to enforce compliance with the provisions of the 8 compact and its promulgated rules and bylaws. The relief 9 sought may include both injunctive relief and damages. In the 10 event judicial enforcement is necessary, the prevailing member 11 shall be awarded all costs of litigation, including reasonable 12 attorney fees.

E. The remedies provided in this section shall not be the exclusive remedies of the commission. The commission may pursue any other remedies available under federal or state law.

SECTION 14. [<u>NEW MATERIAL</u>] DATE OF IMPLEMENTATION OF THE AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY INTERSTATE COMPACT AND ASSOCIATED RULES, WITHDRAWAL AND AMENDMENT.--

A. The compact shall come into effect on the date on which the compact statute is enacted into law in the tenth member state. The provisions, which become effective at that time, shall be limited to the powers granted to the commission relating to assembly and the promulgation of rules. Thereafter, the commission shall meet and exercise rulemaking .228861.1

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powers necessary to the implementation and administration of the compact.

B. Any state that joins the compact subsequent to the commission's initial adoption of the rules shall be subject to the rules as they exist on the date on which the compact becomes law in that state. Any rule that has been previously adopted by the commission shall have the full force and effect of law on the day the compact becomes law in that state.

C. Any member state may withdraw from this compact by enacting a statute repealing the same; provided that:

(1) a member state's withdrawal shall not take effect until six months after enactment of the repealing statute; and

(2) withdrawal shall not affect the continuing requirement of the withdrawing state's audiology and speechlanguage pathology licensing board to comply with the investigative and adverse action reporting requirements of this compact prior to the effective date of withdrawal.

D. Nothing contained in this compact shall be construed to invalidate or prevent any audiology and speechlanguage pathology licensure agreement or other cooperative arrangement between a member state and a non-member state that does not conflict with the provisions of this compact.

E. This compact may be amended by the member states. No amendment to this compact shall become effective .228861.1

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and binding upon any member state until it is enacted into the
 laws of all member states.

SECTION 15. [NEW MATERIAL] CONSTRUCTION AND SEVERABILITY. -- This compact shall be liberally construed so as to effectuate the purposes of the compact. The provisions of this compact shall be severable, and if any phrase, clause, sentence or provision of this compact is declared to be contrary to the constitution of any member state or of the United States or the applicability thereof to any government, agency, person or circumstance is held invalid, the validity of the remainder of this compact and the applicability thereof to any government, agency, person or circumstance shall not be affected thereby. If this compact is held contrary to the constitution of any member state, the compact shall remain in full force and effect as to the remaining member states and in full force and effect as to the member state affected as to all severable matters.

SECTION 16. [<u>NEW MATERIAL</u>] BINDING EFFECT OF COMPACT AND OTHER LAWS.--

A. Nothing in this compact prevents the enforcement of any other law of a member state that is not inconsistent with the compact.

B. All laws in a member state in conflict with the compact are superseded to the extent of the conflict.

C. All lawful actions of the commission, including .228861.1

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all rules and bylaws promulgated by the commission, are binding
 upon the member states.

3 D. All agreements between the commission and the
4 member states are binding in accordance with their terms.

5 E. In the event that any provision of the compact 6 exceeds the constitutional limits imposed on the legislature of 7 any member state, the provision shall be ineffective to the 8 extent of the conflict with the constitutional provision in 9 question in that member state.

10 SECTION 17. EFFECTIVE DATE.--The effective date of the 11 provisions of this act is July 1, 2025.

- 38 -

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