SENATE BILL 119

55TH LEGISLATURE - STATE OF NEW MEXICO - FIRST SESSION, 2021

INTRODUCED BY

Gerald Ortiz y Pino

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AN ACT

RELATING TO PROFESSIONAL LICENSURE; ENACTING THE PSYCHOLOGY INTERJURISDICTIONAL COMPACT.

BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF NEW MEXICO:

[NEW MATERIAL] PSYCHOLOGY INTERJURISDICTIONAL SECTION 1. COMPACT ENTERED INTO. -- The Psychology Interjurisdictional Compact is entered into law and entered into with all other jurisdictions legally joining therein in a form substantially as follows:

"ARTICLE I

PURPOSE

Whereas, states license psychologists in order to protect the public through verification of education, training and experience and ensure accountability for professional practice; and

Whereas, this compact is intended to regulate the day-to-day practice of telepsychology, i.e., the provision of psychological services using telecommunication technologies, by psychologists across state boundaries in the performance of their psychological practice as assigned by an appropriate authority; and

Whereas, this compact is intended to regulate the temporary in-person, face-to-face practice of psychology by psychologists across state boundaries for thirty days within a calendar year in the performance of their psychological practice as assigned by an appropriate authority; and

Whereas, this compact is intended to authorize state psychology regulatory authorities to afford legal recognition, in a manner consistent with the terms of the compact, to psychologists licensed in another state; and

Whereas, this compact recognizes that states have a vested interest in protecting the public's health and safety through their licensing and regulation of psychologists and that such state regulation will best protect public health and safety; and

Whereas, this compact does not apply when a psychologist is licensed in both the home and receiving states; and

Whereas, while this compact does not apply to permanent in-person, face-to-face practice, it does allow for authorization of temporary psychological practice.

Consistent with these principles, this compact is designed to achieve the following purposes and objectives:

- (1) increasing public access to professional psychological services by allowing for telepsychological practice across state lines as well as temporary in-person, face-to-face services in a state in which the psychologist is not licensed to practice psychology;
- (2) enhancing the states' ability to protect the public's health and safety, especially client/patient safety;
- (3) encouraging the cooperation of compact states in the areas of psychology licensure and regulation;
- (4) facilitating the exchange of information between compact states regarding psychologist licensure, adverse actions and disciplinary history;
- (5) promoting compliance with the laws governing psychological practice in each compact state; and
- (6) investing all compact states with the authority to hold licensed psychologists accountable through the mutual recognition of compact state licenses.

ARTICLE II

DEFINITIONS

As used in the compact:

A. "adverse action" means any action taken by a state psychology regulatory authority that finds a violation of a statute or regulation that is identified by the state

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psychology regulatory authority as discipline and is a matter of public record;

- "association of state and provincial psychology В. boards" means the recognized membership organization composed of state and provincial psychology regulatory authorities responsible for the licensure and registration of psychologists throughout the United States and Canada;
- C. "authority to practice interjurisdictional telepsychology" means a licensed psychologist's authority to practice telepsychology, within the limits authorized under this compact, in another compact state;
- "bylaws" means those bylaws established by the Psychology Interjurisdictional Compact commission pursuant to Article X of this compact for its governance or for directing and controlling its actions and conduct;
- "client/patient" means the recipient of Ε. psychological services, whether psychological services are delivered in the context of health care, corporate, supervision or consulting services;
- "commissioner" means the voting representative appointed by each state psychology regulatory authority pursuant to Article X of this compact;
- "compact state" means a state, the District of Columbia or a United States territory that has enacted this compact legislation and that has not withdrawn pursuant to .218646.1ms

Subsection C of Article XIII of this compact or been terminated pursuant to Subsection B of Article XII of this compact;

- H. "confidentiality" means the principle that data or information is not made available or disclosed to unauthorized persons or processes;
- I. "coordinated licensure information system", also referred to as "coordinated database", means an integrated process for collecting, storing and sharing information on psychologists' licensure and enforcement activities related to psychology licensure laws and the Psychology Interjurisdictional Compact, which is administered by the recognized membership organization composed of state and provincial psychology regulatory authorities;
- J. "day" means any part of a day in which psychological work is performed;
- K. "distant state" means the compact state where a psychologist is physically present, not through the use of telecommunications technologies, to provide temporary in-person, face-to-face psychological services;
- L. "E.Passport" means a certificate issued by the association of state and provincial psychology boards that promotes the standardization in the criteria of interjurisdictional telepsychology practice and facilitates the process for licensed psychologists to provide telepsychological services across state lines;

- M. "executive board" means a group of directors elected or appointed to act on behalf of, and within the powers granted to them by, the commission;
- N. "home state" means a compact state where a psychologist is licensed to practice psychology. If the psychologist is licensed in more than one compact state and is practicing under the authorization to practice interjurisdictional telepsychology, the home state is the compact state where the psychologist is physically present when the telepsychological services are delivered. If the psychologist is licensed in more than one compact state and is practicing under a temporary authorization to practice, the home state is any compact state where the psychologist is licensed;
- O. "identity history summary" means a summary of information retained by the United States federal bureau of investigation, or other designee with similar authority, in connection with arrests and, in some instances, federal employment, naturalization or military service;
- P. "in-person, face-to-face" means interactions in which the psychologist and the client/patient are in the same physical space and does not include interactions that may occur through the use of telecommunication technologies;
- Q. "interjurisdictional practice certificate" means a certificate issued by the association of state and provincial .218646.1ms

psychology boards that grants temporary authority to practice based on notification to the state psychology regulatory authority of intention to practice temporarily and verification of one's qualifications for such practice;

- R. "license" means authorization by a state psychology regulatory authority to engage in the independent practice of psychology, which would be unlawful without the authorization;
- S. "non-compact state" means any state that is not at the time a compact state;
- T. "psychologist" means an individual licensed for the independent practice of psychology;
- U. "Psychology Interjurisdictional Compact commission", also referred to as "commission", means the national administration of which all compact states are members;
- V. "receiving state" means a compact state where the client/patient is physically located when the telepsychological services are delivered;
- W. "rule" means a written statement by the

 Psychology Interjurisdictional Compact commission promulgated

 pursuant to Article XI of this compact that is of general

 applicability, implements, interprets or prescribes a policy or

 provision of the compact, or an organizational, procedural or

 practice requirement of the commission, and has the force and

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effect of statutory law in a compact state and includes the amendment, repeal or suspension of an existing rule;

- X. "significant investigatory information" means:
- (1) investigative information that a state psychology regulatory authority, after a preliminary inquiry that includes notification and an opportunity to respond if required by state law, has reason to believe, if proven true, would indicate more than a violation of state statute or ethics code that would be considered more substantial than a minor infraction; or
- (2) investigative information that indicates that the psychologist represents an immediate threat to public health and safety regardless of whether the psychologist has been notified or has had an opportunity to respond;
- Y. "state" means a state, commonwealth, territory or possession of the United States or the District of Columbia;
- Z. "state psychology regulatory authority" means the board, office or other agency with the legislative mandate to license and regulate the practice of psychology;
- AA. "telepsychology" means the provision of psychological services using telecommunication technologies;
- BB. "temporary authorization to practice" means a licensed psychologist's authority to conduct temporary in-person, face-to-face practice, within the limits authorized under this compact, in another compact state; and

CC. "temporary in-person, face-to-face practice" means where a psychologist is physically present, not through the use of telecommunications technologies, in a distant state to provide for the practice of psychology for thirty days within a calendar year and based on notification to the distant state.

ARTICLE III

HOME STATE LICENSURE

- A. The home state shall be a compact state where a psychologist is licensed to practice psychology.
- B. A psychologist may hold one or more compact state licenses at a time. If the psychologist is licensed in more than one compact state, the home state is the compact state where the psychologist is physically present when the services are delivered as authorized by the authority to practice interjurisdictional telepsychology under the terms of this compact.
- C. Any compact state may require a psychologist not previously licensed in a compact state to obtain and retain a license to be authorized to practice in the compact state under circumstances not authorized by the authority to practice interjurisdictional telepsychology under the terms of this compact.
- D. Any compact state may require a psychologist to obtain and retain a license to be authorized to practice in a .218646.1ms

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compact state under circumstances not authorized by temporary authorization to practice under the terms of this compact.

- A home state's license authorizes a psychologist to practice in a receiving state under the authority to practice interjurisdictional telepsychology only if the compact state:
- currently requires the psychologist to (1) hold an active E. Passport;
- has a mechanism in place for receiving and investigating complaints about licensed individuals;
- (3) notifies the commission, in compliance with the terms herein, of any adverse action or significant investigatory information regarding a licensed individual;
- requires an identity history summary of (4) all applicants at initial licensure, including the use of the results of fingerprints or other biometric data checks compliant with the requirements of the United States federal bureau of investigation, Psychology Interjurisdictional Compact or other designee with similar authority, no later than ten years after activation of the compact; and
- complies with the bylaws and rules of the (5) commission.
- F. A home state's license grants temporary authorization to practice to a psychologist in a distant state only if the compact state:

			(1)	currently	requires	the	psychologist	to
ho1d	an	active	inter	jurisdictio	nal pract	ice	certificate;	

- (2) has a mechanism in place for receiving and investigating complaints about licensed individuals;
- (3) notifies the commission, in compliance with the terms herein, of any adverse action or significant investigatory information regarding a licensed individual;
- (4) requires an identity history summary of all applicants at initial licensure, including the use of the results of fingerprints or other biometric data checks compliant with the requirements of the United States federal bureau of investigation, or other designee with similar authority, no later than ten years after activation of the compact; and
- (5) complies with the bylaws and rules of the commission.

ARTICLE IV

COMPACT PRIVILEGE TO PRACTICE TELEPSYCHOLOGY

- A. Compact states shall recognize the right of a psychologist, licensed in a compact state in conformance with Article III of this compact, to practice telepsychology in other compact states (receiving states) in which the psychologist is not licensed, under the authority to practice interjurisdictional telepsychology as provided in the compact.
- B. To exercise the authority to practice .218646.lms

interjurisdictional telepsychology under the terms and provisions of this compact, a psychologist licensed to practice in a compact state must:

- (1) hold a graduate degree in psychology from an institute of higher education that was, at the time the degree was awarded:
- (a) regionally accredited by an accrediting body recognized by the United States department of education to grant graduate degrees or authorized by provincial statute or royal charter to grant doctoral degrees; or
- (b) a foreign college or university deemed to be equivalent to Subparagraph (a) of this paragraph by a foreign credential evaluation service that is a member of the national association of credential evaluation services or by a recognized foreign credential evaluation service;
- (2) hold a graduate degree in psychology that meets the following criteria:
- (a) the program, wherever it may be administratively housed, must be clearly identified and labeled as a psychology program. Such a program must specify in pertinent institutional catalogues and brochures its intent to educate and train professional psychologists;
- (b) the psychology program must stand as a recognizable, coherent, organizational entity within the institution;

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(c) there must be a clear authority and
primary responsibility for the core and specialty areas,
whether or not the program cuts across administrative lines;
(d) the program must consist of an
integrated, organized sequence of study;
(e) there must be an identifiable
psychology faculty sufficient in size and breadth to carry out
its responsibilities;
(f) the designated director of the
program must be a psychologist and a member of the core
faculty;
(g) the program must have an
identifiable body of students who are matriculated in that
program for a degree;
(h) the program must include supervised
practicum, internship or field training appropriate to the
practice of psychology;
(i) the curriculum shall encompass a
minimum of three academic years of full-time graduate study for
doctoral degrees and a minimum of one academic year of full-
time graduate study for master's degrees; and
(j) the program includes an acceptable
residency as defined by the rules of the commission;
(3) possess a current, full and unrestricted
license to practice psychology in a home state that is a

compact state;

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- (4) have no history of adverse action that violates the rules of the commission;
- have no criminal record history reported on an identity history summary that violates the rules of the commission;
 - possess a current, active E.Passport; (6)
- provide attestations in regard to areas of (7) intended practice, conformity with standards of practice and competence in telepsychology technology; criminal background; and knowledge and adherence to legal requirements in the home and receiving states, and must provide a release of information to allow for primary source verification in a manner specified by the commission; and
- (8) meet other criteria as defined by the rules of the commission.
- The home state maintains authority over the license of any psychologist practicing into a receiving state under the authority to practice interjurisdictional telepsychology.
- D. A psychologist practicing in a receiving state under the authority to practice interjurisdictional telepsychology will be subject to the receiving state's scope of practice. A receiving state may, in accordance with that state's due process law, limit or revoke a psychologist's .218646.1ms

authority to practice interjurisdictional telepsychology in the receiving state and may take any other necessary actions under the receiving state's applicable law to protect the health and safety of the receiving state's citizens. If a receiving state takes action, the state shall promptly notify the home state and the commission.

E. If a psychologist's license in any home state or another compact state, or any authority to practice interjurisdictional telepsychology in any receiving state, is restricted, suspended or otherwise limited, the E.Passport shall be revoked and, therefore, the psychologist shall not be eligible to practice telepsychology in a compact state under the authority to practice interjurisdictional telepsychology.

ARTICLE V

COMPACT TEMPORARY AUTHORIZATION TO PRACTICE

- A. Compact states shall also recognize the right of a psychologist, licensed in a compact state in conformance with Article III of this compact, to practice temporarily in other compact states (distant states) in which the psychologist is not licensed, as provided in the compact.
- B. To exercise the temporary authorization to practice under the terms and provisions of this compact, a psychologist licensed to practice in a compact state must:
- (1) hold a graduate degree in psychology from an institute of higher education that was, at the time the .218646.lms

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degree was awarded:

(a) regionally accredited by an accrediting body recognized by the United States department of education to grant graduate degrees or authorized by provincial statute or royal charter to grant doctoral degrees; or

(b) a foreign college or university deemed to be equivalent to Subparagraph (a) of this paragraph by a foreign credential evaluation service that is a member of the national association of credential evaluation services or by a recognized foreign credential evaluation service;

(2) hold a graduate degree in psychology that meets the following criteria:

(a) the program, wherever it may be administratively housed, must be clearly identified and labeled as a psychology program. Such a program must specify in pertinent institutional catalogues and brochures its intent to educate and train professional psychologists;

- (b) the psychology program must stand as a recognizable, coherent, organizational entity within the institution;
- (c) there must be a clear authority and primary responsibility for the core and specialty areas, whether or not the program cuts across administrative lines;
- (d) the program must consist of an integrated, organized sequence of study;

1	(e) there must be an identifiable
2	psychology faculty sufficient in size and breadth to carry out
3	its responsibilities;
4	(f) the designated director of the
5	program must be a psychologist and a member of the core
6	faculty;
7	(g) the program must have an
8	identifiable body of students who are matriculated in that
9	program for a degree;
10	(h) the program must include a
11	supervised practicum or internship or field training
12	appropriate to the practice of psychology;
13	(i) the curriculum shall encompass a
14	minimum of three academic years of full-time graduate study for
15	doctoral degrees and a minimum of one academic year of full-
16	time graduate study for master's degrees; and
17	(j) the program includes an acceptable
18	residency as defined by the rules of the commission;
19	(3) possess a current, full and unrestricted
20	license to practice psychology in a home state that is a
21	compact state;
22	(4) have no history of adverse action that
23	violates the rules of the commission;
24	(5) have no criminal record history that
25	violates the rules of the commission;
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- (6) possess a current, active interjurisdictional practice certificate;
- (7) provide attestations in regard to areas of intended practice and work experience and provide a release of information to allow for primary source verification in a manner specified by the commission; and
- (8) meet other criteria as defined by the rules of the commission.
- C. A psychologist practicing in a distant state under the temporary authorization to practice shall practice within the scope of practice authorized by the distant state.
- D. A psychologist practicing in a distant state under the temporary authorization to practice will be subject to the distant state's authority and law. A distant state may, in accordance with that state's due process law, limit or revoke a psychologist's temporary authorization to practice in the distant state and may take any other necessary actions under the distant state's applicable law to protect the health and safety of the distant state's citizens. If a distant state takes action, the state shall promptly notify the home state and the commission.
- E. If a psychologist's license in any home state or another compact state, or any temporary authorization to practice in any distant state, is restricted, suspended or otherwise limited, the interjurisdictional practice certificate .218646.lms

shall be revoked and, therefore, the psychologist shall not be eligible to practice in a compact state under the temporary authorization to practice interjurisdictional telepsychology.

ARTICLE VI

CONDITIONS OF TELEPSYCHOLOGY PRACTICE IN A RECEIVING STATE

A psychologist may practice in a receiving state under the authority to practice interjurisdictional telepsychology only in the performance of the scope of practice for psychology as assigned by an appropriate state psychology regulatory authority, as defined in the rules of the commission and under the following circumstances:

- A. the psychologist initiates a client/patient contact in a home state via telecommunication technologies with a client/patient in a receiving state; or
- B. other conditions regarding telepsychology as determined by rules promulgated by the commission.

ARTICLE VII

ADVERSE ACTIONS

- A. A home state shall have the power to impose adverse action against a psychologist's license issued by the home state. A distant state shall have the power to take adverse action on a psychologist's temporary authorization to practice within that distant state.
- B. A receiving state may take adverse action on a psychologist's authority to practice interjurisdictional .218646.lms

telepsychology within that receiving state. A home state may take adverse action against a psychologist based on an adverse action taken by a distant state regarding temporary in-person, face-to-face practice.

- C. If a home state takes adverse action against a psychologist's license, that psychologist's authority to practice interjurisdictional telepsychology is terminated and the E.Passport is revoked. Furthermore, that psychologist's temporary authorization to practice is terminated and the interjurisdictional practice certificate is revoked; provided that:
- (1) all home state disciplinary orders that impose adverse action shall be reported to the commission in accordance with the rules promulgated by the commission. A compact state shall report adverse actions in accordance with the rules of the commission;
- (2) in the event discipline is reported on a psychologist, the psychologist will not be eligible for telepsychology or temporary in-person, face-to-face practice in accordance with the rules of the commission; and
- (3) other actions may be imposed as determined by the rules promulgated by the commission.
- D. A home state's psychology regulatory authority shall investigate and take appropriate action with respect to reported inappropriate conduct engaged in by a licensee that .218646.lms

occurred in a receiving state as it would if such conduct had occurred by a licensee within the home state. In such cases, the home state's law shall control in determining any adverse action against a psychologist's license.

- E. A distant state's psychology regulatory authority shall investigate and take appropriate action with respect to reported inappropriate conduct engaged in by a psychologist practicing under temporary authorization practice that occurred in that distant state as it would if such conduct had occurred by a licensee within the home state. In such cases, the distant state's law shall control in determining any adverse action against a psychologist's temporary authorization to practice.
- F. Nothing in this compact shall override a compact state's decision that a psychologist's participation in an alternative program may be used in lieu of adverse action and that such participation shall remain nonpublic if required by the compact state's law. Compact states must require psychologists who enter any alternative programs to not provide telepsychology services under the authority to practice interjurisdictional telepsychology or provide temporary psychological services under the temporary authorization to practice in any other compact state during the term of the alternative program.
- G. No other judicial or administrative remedies .218646.lms

shall be available to a psychologist in the event a compact state imposes an adverse action pursuant to Subsection C of this article.

ARTICLE VIII

ADDITIONAL AUTHORITIES INVESTED IN A COMPACT STATE'S PSYCHOLOGY REGULATORY AUTHORITY

- A. In addition to any other powers granted under state law, a compact state's psychology regulatory authority shall have the authority under this compact to:
- (1) issue subpoenas, for both hearings and investigations, that require the attendance and testimony of witnesses and the production of evidence. Subpoenas issued by a compact state's psychology regulatory authority for the attendance and testimony of witnesses, or the production of evidence from another compact state, shall be enforced in the latter state by any court of competent jurisdiction, according to that court's practice and procedure in considering subpoenas issued in its own proceedings. The issuing state psychology regulatory authority shall pay any witness fees, travel expenses, mileage and other fees required by the service statutes of the state where the witnesses or evidence are located; and
- (2) issue cease and desist or injunctive relief orders to revoke a psychologist's authority to practice interjurisdictional telepsychology or temporary authorization .218646.lms

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В. During the course of any investigation, a psychologist shall not change the psychologist's home state licensure. A home state psychology regulatory authority is authorized to complete any pending investigations of a psychologist and to take any actions appropriate under its law. The home state psychology regulatory authority shall promptly report the conclusions of such investigations to the commission. Once an investigation has been completed, and pending the outcome of said investigation, the psychologist may change the psychologist's home state licensure. The commission shall promptly notify the new home state of any such decisions as provided in the rules of the commission. All information provided to the commission or distributed by compact states pursuant to the psychologist shall be confidential, filed under seal and used for investigatory or Psychology Interjurisdictional Compact disciplinary matters. commission may create additional rules for mandated or discretionary sharing of information by compact states.

ARTICLE IX

COORDINATED LICENSURE INFORMATION SYSTEM

A. The commission shall provide for the development and maintenance of a coordinated licensure information system (coordinated database) and reporting system containing licensure and disciplinary action information on all .218646.lms

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psychologists and individuals to whom this compact is applicable in all compact states as defined by the rules of the commission.

- B. Notwithstanding any other provision of state law to the contrary, a compact state shall submit a uniform data set to the coordinated database on all licensees as required by the rules of the commission, including:
 - (1) identifying information;
 - (2) licensure data;
 - (3) significant investigatory information;
- (4) adverse actions against a psychologist's
 license;
- (5) an indicator that a psychologist's authority to practice interjurisdictional telepsychology or temporary authorization to practice is revoked;
- (6) non-confidential information related to alternative program participation information;
- (7) any denial of application for licensure and the reasons for such denial; and
- (8) other information that may facilitate the administration of this compact, as determined by the rules of the commission.
- C. The coordinated database administrator shall promptly notify all compact states of any adverse action taken against, or significant investigative information on, any .218646.lms

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licensee in a compact state.

- Compact states reporting information to the coordinated database may designate information that shall not be shared with the public without the express permission of the compact state reporting the information.
- Ε. Any information submitted to the coordinated database that is subsequently required to be expunged by the law of the compact state reporting the information shall be removed from the coordinated database.

ARTICLE X

ESTABLISHMENT OF THE PSYCHOLOGY INTERJURISDICTIONAL COMPACT COMMISSION

- The compact states hereby create and establish a Α. joint public agency known as the Psychology Interjurisdictional Compact commission as follows:
- the commission is a body politic and an (1) instrumentality of the compact states;
- (2) venue is proper, and judicial proceedings by or against the commission shall be brought solely and exclusively in a court of competent jurisdiction where the principal office of the commission is located. The commission may waive venue and jurisdictional defenses to the extent it adopts or consents to participate in alternative dispute resolution proceedings; and
- nothing in this compact shall be construed .218646.1ms

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to be a waiver of sovereign immunity.

shall be limited to:

the commission shall consist of one voting representative appointed by each compact state who shall serve as that state's commissioner. The state psychology regulatory authority shall appoint its delegate. This delegate shall be

Membership, voting and meetings are as follows:

- empowered to act on behalf of the compact state. This delegate
 - (a) the executive director, executive secretary or similar executive;
 - (b) a current member of the state psychology regulatory authority of a compact state; or
 - a designee empowered with the appropriate delegate authority to act on behalf of the compact state;
 - any commissioner may be removed or (2) suspended from office as provided by the law of the state from which the commissioner is appointed. Any vacancy occurring in the commission shall be filled in accordance with the laws of the compact state in which the vacancy exists;
 - (3) each commissioner shall be entitled to one vote with regard to the promulgation of rules and creation of bylaws and shall otherwise have an opportunity to participate in the business and affairs of the commission. A commissioner shall vote in person or by such other means as provided in the .218646.1ms

1	bylaws. The bylaws may provide for commissioners'
2	participation in meetings by telephone or other means of
3	communication;
4	(4) the commission shall meet at least once
5	during each calendar year. Additional meetings shall be held
6	as set forth in the bylaws;
7	(5) all meetings shall be open to the public,
8	and public notice of meetings shall be given in the same manner
9	as required under the rulemaking provisions in Article XI of
10	this compact;
11	(6) the commission may convene in a closed,
12	nonpublic meeting if the commission must discuss:
13	(a) noncompliance of a compact state
14	with its obligations under the compact;
15	(b) employment, compensation, discipline
16	or other personnel matters, practices or procedures related to
17	specific employees or other matters related to the commission's
18	internal personnel practices and procedures;
19	(c) current, threatened or reasonably
20	anticipated litigation against the commission;
21	(d) negotiation of contracts for the
22	purchase or sale of goods, services or real estate;
23	(e) accusation against any person of a
24	crime or formally censuring any person;
25	(f) disclosure of trade secrets or
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commercial or financial information that is privileged or confidential;

- (g) disclosure of information of a personal nature where disclosure would constitute a clearly unwarranted invasion of personal privacy;
- (h) disclosure of investigatory records compiled for law enforcement purposes;
- (i) disclosure of information related to any investigatory reports prepared by or on behalf of or for use of the commission or other committee charged with responsibility for investigation or determination of compliance issues pursuant to the compact; or
- (j) matters specifically exempted from disclosure by federal and state statute; and
- (7) if a meeting, or portion of a meeting, is closed pursuant to this subsection, the commission's legal counsel or designee shall certify that the meeting may be closed and shall reference each relevant exempting provision. The commission shall keep minutes that fully and clearly describe all matters discussed in a meeting and shall provide a full and accurate summary of actions taken, of any person participating in the meeting and the reasons therefore, including a description of the views expressed. All documents considered in connection with an action shall be identified in such minutes. All minutes and documents of a closed meeting

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shall remain under seal, subject to release only by a majority vote of the commission or order of a court of competent jurisdiction.

- The commission shall, by a majority vote of the commissioners, prescribe bylaws or rules to govern its conduct as may be necessary or appropriate to carry out the purposes and exercise the powers of this compact, including but not limited to:
- (1) establishing the fiscal year of the commission;
- (2) providing reasonable standards and procedures:
- for the establishment and meetings of other committees; and
- governing any general or specific (b) delegation of any authority or function of the commission;
- (3) providing reasonable procedures for calling and conducting meetings of the commission, ensuring reasonable advance notice of all meetings and providing an opportunity for attendance of such meetings by interested parties, with enumerated exceptions designed to protect the public's interest, the privacy of individuals of such proceedings and proprietary information, including trade The commission may meet in closed session only after a majority of the commissioners vote to close a meeting to the .218646.1ms

public in whole or in part. As soon as practicable, the commission must make public a copy of the vote to close the meeting revealing the vote of each commissioner with no proxy votes allowed;

- (4) establishing the titles, duties and authority, and reasonable procedures for the election, of the officers of the commission;
- (5) providing reasonable standards and procedures for the establishment of the personnel policies and programs of the commission. Notwithstanding any civil service or other similar law of any compact state, the bylaws shall exclusively govern the personnel policies and programs of the commission:
- (6) promulgating a code of ethics to address permissible and prohibited activities of commission members and employees;
- (7) providing a mechanism for concluding the operations of the commission and the equitable disposition of any surplus funds that may exist after the termination of the compact, after the payment, and/or reserving, of all of its debts and obligations;
- (8) the commission shall publish its bylaws in a convenient form and file a copy thereof, and a copy of any amendment thereto, with the appropriate agency or officer in each of the compact states;

- (9) the commission shall maintain its financial records in accordance with the bylaws; and
- (10) the commission shall meet and take such actions as are consistent with the provisions of this compact and the bylaws.
 - D. The commission shall have the following powers:
- (1) the authority to promulgate uniform rules to facilitate and coordinate implementation and administration of this compact. The rules shall have the force and effect of law and shall be binding in all compact states;
- (2) to bring and prosecute legal proceedings or actions in the name of the commission; provided that the standing of any state psychology regulatory authority or other regulatory body responsible for psychology licensure to sue or be sued under applicable law shall not be affected;
- (3) to purchase and maintain insurance and bonds;
- (4) to borrow, accept or contract for services of personnel, including, but not limited to, employees of a compact state;
- (5) to hire employees, elect or appoint officers, fix compensation, define duties, grant such individuals appropriate authority to carry out the purposes of the compact and establish the commission's personnel policies and programs relating to conflicts of interest, qualifications .218646.lms

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of personnel and other related personnel matters;

- (6) to accept any and all appropriate donations and grants of money, equipment, supplies, materials and services and to receive, utilize and dispose of the same; provided that at all times the commission shall strive to avoid any appearance of impropriety and/or conflict of interest;
- (7) to lease, purchase, accept appropriate gifts or donations of, or otherwise to own, hold, improve or use, any property real, personal or mixed; provided that at all times the commission shall strive to avoid any appearance of impropriety;
- to sell, convey, mortgage, pledge, lease, exchange, abandon or otherwise dispose of any property real, personal or mixed;
- to establish a budget and make (9) expenditures;
 - (10)to borrow money;
- to appoint committees, including advisory committees composed of members, state regulators, state legislators or their representatives and consumer representatives, and such other interested persons as may be designated in this compact and the bylaws;
- to provide and receive information from, (12) and to cooperate with, law enforcement agencies;
- to adopt and use an official seal; and .218646.1ms

(14) to perform such other functions as may be
necessary or appropriate to achieve the purposes of this
compact consistent with the state regulation of psychology
licensure, temporary in-person, face-to-face practice and
telepsychology practice.

- E. The executive board is created as follows: the elected officers shall serve as the executive board, which shall have the power to act on behalf of the commission according to the terms of this compact;
- (1) the executive board shall be composed of six members:
- (a) five voting members who are elected from the current membership of the commission by the commission; and
- (b) one ex-officio, nonvoting member from the recognized membership organization composed of state and provincial psychology regulatory authorities;
- (2) the ex-officio member must have served as staff or member on a state psychology regulatory authority and will be selected by its respective organization;
- (3) the commission may remove any member of the executive board as provided in the bylaws;
- (4) the executive board shall meet at least annually; and
- (5) the executive board shall have the .218646.lms

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following duties and responsibilities:

recommend to the entire commission changes to the rules or bylaws, changes to this compact, legislation, fees paid by compact states such as annual dues and any other applicable fees;

- ensure that compact administration (b) services are appropriately provided, contractual or otherwise;
 - prepare and recommend the budget; (c)
- (d) maintain financial records on behalf of the commission;
- monitor compact compliance of member states and provide compliance reports to the commission;
- establish additional committees as necessary; and
- other duties as provided in rules or (g) bylaws.

Financing of the commission: F.

- the commission shall pay, or provide for the payment of, the reasonable expenses of its establishment, organization and ongoing activities;
- the commission may accept any and all (2) appropriate revenue sources, donations and grants of money, equipment, supplies, materials and services;
- the commission may levy on and collect an (3) annual assessment from each compact state, or impose fees on .218646.1ms

other parties to cover the cost of the operations and activities of the commission and its staff, which must be in a total amount sufficient to cover its annual budget as approved each year for which revenue is not provided by other sources. The aggregate annual assessment amount shall be allocated based upon a formula to be determined by the commission, which shall promulgate a rule binding upon all compact states;

- (4) the commission shall not incur obligations of any kind prior to securing the funds adequate to meet the same; nor shall the commission pledge the credit of any of the compact states, except by and with the authority of the compact state; and
- (5) the commission shall keep accurate accounts of all receipts and disbursements. The receipts and disbursements of the commission shall be subject to the audit and accounting procedures established under its bylaws. However, all receipts and disbursements of funds handled by the commission shall be audited yearly by a certified or licensed public accountant, and the report of the audit shall be included in and become part of the annual report of the commission.
 - G. Qualified immunity, defense and indemnification:
- (1) the members, officers, executive director, employees and representatives of the commission shall be immune from suit and liability, either personally or in their official .218646.lms

capacity, for any claim for damage to or loss of property or personal injury or other civil liability caused by or arising out of any actual or alleged act, error or commission that occurred, or that the person against whom the claim is made had a reasonable basis for believing occurred, within the scope of commission employment, duties or responsibilities; provided that nothing in this paragraph shall be construed to protect any such person from suit and/or liability for any damage, loss, injury or liability caused by the intentional or willful or wanton misconduct of that person;

officer, executive director, employee or representative of the commission in any civil action seeking to impose liability arising out of any actual or alleged act, error or omission that occurred within the scope of commission employment, duties or responsibilities, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of commission employment, duties or responsibilities; provided that nothing herein shall be construed to prohibit that person from retaining the person's own counsel; and provided further that the actual or alleged act, error or omission did not result from that person's intentional or willful or wanton misconduct; and

(3) the commission shall indemnify and hold harmless any member, officer, executive director, employee or .218646.lms

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2 settlement or judgment obtained against that person arising out 3 of any actual or alleged act, error or omission that occurred within the scope of commission or Psychology 5 Interjurisdictional Compact employment, duties or responsibilities; or that such person had a reasonable basis 7 for believing occurred within the scope of commission 8 employment, duties or responsibilities; provided that the actual or alleged act, error or omission did not result from 10 the intentional or willful or wanton misconduct of that person. 11 ARTICLE XI 12 RULEMAKING

representative of the commission for the amount of any

- The commission shall exercise its rulemaking powers pursuant to the criteria set forth in this article and the rules adopted thereunder. Rules and amendments shall become binding as of the date specified in each rule or amendment.
- If a majority of the legislatures of the compact states rejects a rule, by enactment of a statute or resolution in the same manner used to adopt the compact, then such rule shall have no further force and effect in any compact state.
- Rules or amendments to the rules shall be C. adopted at a regular or special meeting of the commission.
- Prior to promulgation and adoption of a final rule or rules by the commission, and at least sixty days in .218646.1ms

advance of the meeting at which the rule will be considered and voted upon, the commission shall file a notice of proposed rulemaking:

- (1) on the website of the commission; and
- (2) on the website of each compact state's psychology regulatory authority or the publication in which each state would otherwise publish proposed rules.
 - E. The notice of proposed rulemaking shall include:
- (1) the proposed time, date and location of the meeting in which the rule will be considered and voted upon;
- (2) the text of the proposed rule or amendment and the reason for the proposed rule;
- (3) a request for comments on the proposed rule from any interested person; and
- (4) the manner in which interested persons may submit notice to the commission of their intention to attend the public hearing and any written comments.
- F. Prior to adoption of a proposed rule, the commission shall allow persons to submit written data, facts, opinions and arguments, which shall be made available to the public.
- G. The commission shall grant an opportunity for a public hearing before it adopts a rule or amendment if a hearing is requested by:

- (1) at least twenty-five persons who submit comments independently of each other;
 - (2) a governmental subdivision or agency; or
- (3) a duly appointed person in an association that has at least twenty-five members.
- H. If a hearing is held on the proposed rule or amendment, the commission shall publish the place, time and date of the scheduled public hearing; provided that:
- (1) all persons wishing to be heard at the hearing shall notify the executive director of the commission or other designated member in writing of their desire to appear and testify at the hearing not less than five business days before the scheduled date of the hearing;
- (2) hearings shall be conducted in a manner providing each person who wishes to comment a fair and reasonable opportunity to comment orally or in writing;
- (3) no transcript of the hearing is required, unless a written request for a transcript is made, in which case the person requesting the transcript shall bear the cost of producing the transcript. A recording may be made in lieu of a transcript under the same terms and conditions as a transcript. This paragraph shall not preclude the commission from making a transcript or recording of the hearing if it so chooses; and
- (4) nothing in this article shall be construed .218646.lms

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as requiring a separate hearing on each rule. Rules may be grouped for the convenience of the commission at hearings required by this article.

- Following the scheduled hearing date, or by the close of business on the scheduled hearing date if the hearing was not held, the commission shall consider all written and oral comments received.
- The commission shall, by majority vote of all J. members, take final action on the proposed rule and shall determine the effective date of the rule, if any, based on the rulemaking record and the full text of the rule.
- If no written notice of intent to attend the public hearing by interested parties is received, the commission may proceed with promulgation of the proposed rule without a public hearing.
- Upon determination that an emergency exists, the commission may consider and adopt an emergency rule without prior notice, opportunity for comment or hearing; provided that the usual rulemaking procedures provided in the compact and in this article shall be retroactively applied to the rule as soon as reasonably possible, in no event later than ninety days after the effective date of the rule. For the purposes of this provision, an emergency rule is one that must be adopted immediately in order to:
- meet an imminent threat to public health, .218646.1ms

safety or welfare;

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- (2) prevent a loss of commission or compact state funds;
- meet a deadline for the promulgation of an administrative rule that is established by federal law or rule; or
 - protect public health and safety.
- The commission or an authorized committee of the M. commission may direct revisions to a previously adopted rule or amendment for purposes of correcting typographical errors, errors in format, errors in consistency or grammatical errors. Public notice of any revisions shall be posted on the website of the commission. The revision shall be subject to challenge by any person for a period of thirty days after posting. revision may be challenged only on grounds that the revision results in a material change to a rule. A challenge shall be made in writing and delivered to the chair of the commission prior to the end of the notice period. If no challenge is made, the revision will take effect without further action. the revision is challenged, the revision shall not take effect without the approval of the commission.

ARTICLE XII

OVERSIGHT, DISPUTE RESOLUTION AND ENFORCEMENT

Α. Oversight:

the executive, legislative and judicial

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branches of state government in each compact state shall enforce this compact and take all actions necessary and appropriate to effectuate the compact's purposes and intent. The provisions of this compact and the rules promulgated hereunder shall have standing as statutory law;

- (2) all courts shall take judicial notice of the compact and the rules in any judicial or administrative proceeding in a compact state pertaining to the subject matter of this compact that may affect the powers, responsibilities or actions of the commission; and
- (3) the commission shall be entitled to receive service of process in any such proceeding and shall have standing to intervene in such a proceeding for all purposes. Failure to provide service of process to the commission shall render a judgment or order void as to the commission, this compact or promulgated rules.
 - Default, technical assistance and termination:
- if the commission determines that a compact state has defaulted in the performance of its obligations or responsibilities under this compact or the promulgated rules, the commission shall:
- (a) provide written notice to the defaulting state and other compact states of the nature of the default, the proposed means of remedying the default or any other action to be taken by the commission; and

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		(b) pr	ovide	remed	lial	training	and
specific	technical	assistance	rega	rding	the	default;	

- (2) if a state in default fails to remedy the default, the defaulting state may be terminated from the compact upon an affirmative vote of a majority of the compact states, and all rights, privileges and benefits conferred by this compact shall be terminated on the effective date of termination. A remedy of the default does not relieve the offending state of obligations or liabilities incurred during the period of default;
- (3) termination of membership in the compact shall be imposed only after all other means of securing compliance have been exhausted, and notice of intent to suspend or terminate shall be submitted by the commission to the governor, the majority and minority leaders of the defaulting state's legislature and each of the compact states;
- (4) a compact state that has been terminated is responsible for all assessments, obligations and liabilities incurred through the effective date of termination, including obligations that extend beyond the effective date of termination;
- (5) the commission shall not bear any costs incurred by the state that is found to be in default or that has been terminated from the compact, unless agreed upon in writing between the commission and the defaulting state; and .218646.1ms

of the commission by petitioning the United States district court for the state of Georgia or the federal district where the compact has its principal offices. The prevailing member shall be awarded all costs of such litigation, including reasonable attorney fees.

C. Dispute resolution:

- (1) upon request by a compact state, the commission shall attempt to resolve disputes related to the compact that arise among compact states and between compact and non-compact states; and
- (2) the commission shall promulgate a rule providing for both mediation and binding dispute resolution for disputes that arise before the commission.

D. Enforcement:

- (1) the commission, in the reasonable exercise of its discretion, shall enforce the provisions and rules of this compact;
- (2) by majority vote, the commission may initiate legal action in the United States district court for the state of Georgia or the federal district where the compact has its principal offices against a compact state in default to enforce compliance with the provisions of the compact and its promulgated rules and bylaws. The relief sought may include both injunctive relief and damages. In the event judicial

enforcement is necessary, the prevailing member shall be awarded all costs of such litigation, including reasonable attorney fees; and

(3) the remedies herein shall not be the exclusive remedies of the commission. The commission may pursue any other remedies available under federal or state law.

ARTICLE XIII

DATE OF IMPLEMENTATION OF THE PSYCHOLOGY INTERJURISDICTIONAL

COMPACT COMMISSION AND ASSOCIATED RULES, WITHDRAWAL AND

AMENDMENTS

A. The compact shall come into effect on the date on which the compact is enacted into law in the seventh compact state. The provisions that become effective at that time shall be limited to the powers granted to the commission relating to assembly and the promulgation of rules. Thereafter, the commission shall meet and exercise rulemaking powers necessary to the implementation and administration of the compact.

- B. Any state that joins the compact subsequent to the commission's initial adoption of the rules shall be subject to the rules as they exist on the date on which the compact becomes law in that state. Any rule that has been previously adopted by the commission shall have the full force and effect of law on the day the compact becomes law in that state.
- C. Any compact state may withdraw from this compact by enacting a statute repealing the same; provided that:

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- (1) A compact state's withdrawal shall not take effect until six months after enactment of the repealing statute; and
- (2) Withdrawal shall not affect the continuing requirement of the withdrawing state's psychology regulatory authority to comply with the investigative and adverse action reporting requirements of this act prior to the effective date of withdrawal.
- D. Nothing contained in this compact shall be construed to invalidate or prevent any psychology licensure agreement or other cooperative arrangement between a compact state and a non-compact state that does not conflict with the provisions of this compact.
- E. This compact may be amended by the compact states. No amendment to this compact shall become effective and binding upon any compact state until it is enacted into the law of all compact states.

ARTICLE XIV

CONSTRUCTION AND SEVERABILITY

This compact shall be liberally construed so as to effectuate the purposes thereof. If this compact shall be held contrary to the constitution of any state member thereto, the compact shall remain in full force and effect as to the remaining compact states.".