

## Doña Ana County Assisted Outpatient Treatment (AOT)

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#### **AOT**

 Assisted Outpatient Treatment (AOT) is court ordered community-based treatment proven effective in increasing adherence to treatment as well as reducing hospitalization and incarceration for persons living with serious mental illness (SMI).



# Non-Engagement in & Lack of access to Treatment

- People with SMI are caught in the "revolving doors" of the mental health and criminal justice systems.
  - Additional trauma
  - Little return on investment



# **Supportive Engagement and Better Access**

- Strategy to address non-adherence
- Form of civil commitment
- Trauma-Informed
- Means of leveraging the power of courts to influence behavior and services



#### New Mexico's AOT Law

- MOU between City or County and District Court
- Petitioner
- Evaluation
- Treatment Plan
  - ACT
  - CCSS
  - Intensive Case Management
- Up to one year, option for second year



## **Eligibility Criteria**

#### Clear and Convincing Evidence

- 1. 18 or older
- 2. Resides in County
- 3. Primary diagnosis of mental illness
- 4. History of lack of adherence to treatment resulting in:
  - Repeat hospitalization or received mental health services in a jail, prison, or detention center;
  - Serious violent behaviors toward self or others; or
  - Hospitalization, incarceration, or detention, for six months or more and will be discharged/released in the next 30 days or was discharged in the past 60 days.
- 5. Unwilling/unlikely to participate voluntarily
- 6. Least restrictive option to prevent relapse or deterioration
- 7. Will likely benefit, in their best interest



#### **Petitioner**

- Adult residing with individual
- Parent or Spouse
- Sibling or Child
- Hospital Director
- Director of agency providing mental health services
- Qualified Professional who supervises or treats within past 2 years
- Surrogate decision-maker



#### Resources

- Judge Court orders and motivation (county)
- Respondent's Attorney Civil rights and representation (paid contractor)
- Petitioner's Attorney DA/private attorney to complete paperwork (copetitioner or paid contractor)
- Qualifying Professional Affidavit and treatment plan (Medicaid)
- Provider ACT or CCSS (Medicaid)
- Program Coordinator (county/grant)
- Housing Navigator (county/grant)
- Evaluator (county/grant)



#### **Court Process**

- Petition hospital discharge or community-based referral
- Initial Hearing patient represented by counsel
- Court Order Directing
  - Patient to comply with treatment plan
  - BH system to ensure delivery of treatment plan
- Judicial Reviews
  - 2-month, 6-month, 11-month, or emergency hearings
- Treatment Team Meetings Review progress and discuss needs
  - Every other month



#### **Court Order**

- AOT order is uniquely toothless
  - No contempt of court
  - No automatic return to inpatient commitment
  - No forcibly administration of medications
- What's the point?



#### **The Court Order Matters**

NY Research Conclusion:

"The increased services available under [AOT] clearly improve recipient outcomes. However, the [AOT] court order, itself, and its monitoring do appear to offer additional benefits in improving outcomes."



#### "The Black Robe Effect"

- Judges naturally command respect as symbols of authority.
- The AOT judge must embrace the role of primary motivator.
- The black robe effect works on the treatment system as well.
- Lack of punishment for non-adherence doesn't mean lack of consequence.



## **AOT Works: 2009 NY Study**

- Likelihood of arrest reduced by half
- AOT group 4x less likely to commit serious violence vs. non-eligible control group, despite more violent histories
- Likelihood of hospital admission over 6-month period reduced by half (74% to 36%)
- "Substantial reductions" in hospital days





### Effectiveness of AOT programs nationwide

Research across the U.S. has shown that AOT programs are effective in the following areas (Phelan et al., 2010; Swartz et al., 2002):

- Engaging clients
- Reducing recidivism
- Reducing hospitalization and suicide rates
- Increasing quality of life and insight
- Improving clinical symptoms



### Doña Ana County Data - May 2021

- Crimson Research at NMSU
  - Average # of hospitalizations reduced by 58%
  - # of days spent in a psychiatric hospital reduced by 69%
  - # of arrests declined by 81%
  - Reduced homelessness
  - Positive attitude toward medication
  - Mental health symptoms perceived to be less bothersome
  - 92% said judge treated them respectfully
  - 89 % said they felt more hopeful



## **Questions and Comments**

