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HOUSE BILL 217

57TH LEGISLATURE - STATE OF NEW MEXICO - FIRST SESSION, 2025

INTRODUCED BY

Pamelya Herndon and Antonio Maestas

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AN ACT

RELATING TO PROFESSIONAL LICENSURE; ENACTING THE COUNSELING COMPACT.

BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF NEW MEXICO:

SECTION 1. [NEW MATERIAL] COUNSELING COMPACT ENTERED INTO.--The Counseling Compact is entered into law and entered into with all other jurisdictions legally joining therein in a form substantially as follows:

"Counseling Compact

SECTION 1 -- PURPOSE

The purpose of this compact is to facilitate the interstate practice of licensed professional counselors with the goal of improving public access to professional counseling services. The practice of professional counseling occurs in the state where the client is located at the time of the

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counseling services. The compact preserves the regulatory authority of states to protect public health and safety through the current system of state licensure.

This compact is designed to achieve the following objectives:

- increase public access to professional counseling services by providing for the mutual recognition of other member state licenses;
- enhance the states' ability to protect the public's health and safety;
- encourage the cooperation of member states in regulating multistate practice for licensed professional counselors:
- support spouses of relocating active duty military personnel;
- enhance the exchange of licensure, investigative Ε. and disciplinary information among member states;
- allow for the use of telehealth technology to facilitate increased access to professional counseling services;
- G. support the uniformity of professional counseling licensure requirements throughout the states to promote public safety and public health benefits;
- invest all member states with the authority to hold a licensed professional counselor accountable for meeting .228738.1

all state practice laws in the state in which the client is located at the time care is rendered through the mutual recognition of member state licenses;

- I. eliminate the necessity for licenses in multiple states; and
- J. provide opportunities for interstate practice by licensed professional counselors who meet uniform licensure requirements.

SECTION 2 -- DEFINITIONS

As used in this compact, and except as otherwise provided, the following definitions shall apply:

- A. "active duty military" means full-time duty status in the active uniformed service of the United States, including members of the national guard and reserve on active duty orders pursuant to 10 U.S.C. Chapters 1209 and 1211;
- B. "adverse action" means any administrative, civil, equitable or criminal action permitted by a state's laws that is imposed by a licensing board or other authority against a licensed professional counselor, including actions against an individual's license or privilege to practice such as revocation, suspension, probation, monitoring of the licensee, limitation on the licensee's practice, or any other encumbrance on licensure affecting a licensed professional counselor's authorization to practice, including issuance of a cease and desist action;

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- C. "alternative program" means a non-disciplinary monitoring or practice remediation process approved by a licensing board to address impaired practitioners;
- "continuing competence or education" means a requirement, as a condition of license renewal, to provide evidence of participation in, or completion of, educational and professional activities relevant to practice or area of work;
- "counseling compact commission" or "commission" Ε. means the national administrative body whose membership consists of all states that have enacted the compact;
- "current significant investigative information" means:
- investigative information that a licensing (1) board, after a preliminary inquiry that includes notification and an opportunity for the licensed professional counselor to respond, if required by state law, has reason to believe is not groundless and, if proved true, would indicate more than a minor infraction: or
- investigative information that indicates that the licensed professional counselor represents an immediate threat to public health and safety regardless of whether the licensed professional counselor has been notified and had an opportunity to respond;
- "data system" means a repository of information about licensees, including continuing education, examination, .228738.1

licensure, investigative, privilege to practice and adverse action information;

- H. "encumbered license" means a license in which an adverse action restricts the practice of licensed professional counseling by the licensee and the adverse action has been reported to the national practitioner data bank;
- I. "encumbrance" means a revocation or suspension of, or any limitation on, the full and unrestricted practice of licensed professional counseling by a licensing board;
- J. "executive committee" means a group of directors elected or appointed to act on behalf of, and within the powers granted to them by, the commission;
- K. "home state" means the member state that is the licensee's primary state of residence;
- L. "impaired practitioner" means an individual who has a condition that may impair the person's ability to practice as a licensed professional counselor without some type of intervention and may include alcohol or drug dependence, mental health impairment or neurological or physical impairments;
- M. "investigative information" means information, records or documents received or generated by a licensing board pursuant to an investigation;
- N. "jurisprudence requirement", if required by a member state, means the assessment of an individual's knowledge .228738.1

of the laws and rules governing the practice of professional counseling in a state;

- O. "licensed professional counselor" means a counselor licensed by a member state, regardless of the title used by that state, to independently assess, diagnose and treat behavioral health conditions;
- P. "licensee" means an individual who currently holds an authorization from the state to practice as a licensed professional counselor;
- Q. "licensing board" means the agency of a state, or equivalent, that is responsible for the licensing and regulation of licensed professional counselors;
- R. "member state" means a state that has enacted the compact;
- S. "privilege to practice" means a legal authorization, which is equivalent to a license, permitting the practice of professional counseling in a remote state;
- T. "professional counseling" means the assessment, diagnosis and treatment of behavioral health conditions by a licensed professional counselor;
- U. "remote state" means a member state other than the home state, where a licensee is exercising or seeking to exercise the privilege to practice;
- V. "rule" means a regulation promulgated by the commission that has the force of law;

W. "single state license" means a licensed
professional counselor license issued by a member state that
authorizes practice only within the issuing state and does not
include a privilege to practice in any other member state;
X. "state" means any state, commonwealth, district
or territory of the United States that regulates the practice
of professional counseling;
Y. "telehealth" means the application of
telecommunication technology to deliver professional counseling
services remotely to assess, diagnose and treat behavioral
health conditions; and
Z. "unencumbered license" means a license that
authorizes a licensed professional counselor to engage in the
full and unrestricted practice of professional counseling.
SECTION 3 STATE PARTICIPATION IN THE COMPACT

- A. To participate in the compact, a state must currently:
- (1) license and regulate licensed professional counselors;
- (2) require licensees to pass a nationally recognized exam approved by the commission; and
- (3) require licensees to have a sixty semester-hour or ninety quarter-hour master's degree in counseling or sixty semester-hours or ninety quarter-hours of graduate course work, including the following topic areas:

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1	(a) professional counseling orientation				
2	and ethical practice;				
3	(b) social and cultural diversity;				
4	(c) human growth and development;				
5	(d) career development;				
6	(e) counseling and helping				
7	relationships;				
8	(f) group counseling and group work;				
9	(g) diagnosis and treatment; assessment				
10	and testing;				
11	(h) research and program evaluation; and				
12	(i) other areas as determined by the				
13	commission;				
14	(4) require licensees to complete a supervised				
15	postgraduate professional experience as defined by the				
16	commission; and				
17	(5) have a mechanism in place for receiving				
18	and investigating complaints about licensees.				
19	B. A member state shall:				
20	(1) participate fully in the commission's data				
21	system, including using the commission's unique identifier as				
22	defined in rules;				
23	(2) notify the commission, in compliance with				
24	the terms of the compact and rules, of any adverse action or				
25	the availability of investigative information regarding a				
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licensee;

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(3) implement or utilize procedures for considering the criminal history records of applicants for an initial privilege to practice, including the submission of fingerprints or other biometric-based information by applicants for the purpose of obtaining an applicant's criminal history record information from the federal bureau of investigation and the agency responsible for retaining that state's criminal records, including:

(a) a criminal background check requirement, within a time frame established by rule, by receiving the results of the federal bureau of investigation record search and shall use the results in making licensure decisions; and

(b) a requirement that communication between a member state, the commission and among member states regarding the verification of eligibility for licensure through the compact shall not include any information received from the federal bureau of investigation relating to a federal criminal records check performed by a member state under Public Law 92-544;

- comply with the rules of the commission; (4)
- require an applicant to obtain or retain a (5) license in the home state and meet the home state's qualifications for licensure or renewal of licensure, as well .228738.1

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as all other applicable state laws;

- grant the privilege to practice to a licensee holding a valid unencumbered license in another member state in accordance with the terms of the compact and rules; and
- provide for the attendance of the state's commissioner to the counseling compact commission meetings.
- Member states may charge a fee for granting the C. privilege to practice.
- Individuals not residing in a member state shall continue to be able to apply for a member state's single state license as provided under the laws of each member state. However, the single state license granted to these individuals shall not be recognized as granting a privilege to practice professional counseling in any other member state.
- Nothing in this compact shall affect the requirements established by a member state for the issuance of a single state license.
- A license issued to a licensed professional counselor by a home state to a resident in that state shall be recognized by each member state as authorizing a licensed professional counselor to practice professional counseling, under a privilege to practice, in each member state.

SECTION 4 -- PRIVILEGE TO PRACTICE

To exercise the privilege to practice under the .228738.1

terms and provisions of the compact, the licensee shall:

- (1) hold a license in the home state;
- (2) have a valid United States social security number or national practitioner identifier;
- (3) be eligible for a privilege to practice in any member state in accordance with Subsections D, G and H of this section;
- (4) have not had any encumbrance or restriction against any license or privilege to practice within the previous two years;
- (5) notify the commission that the licensee is seeking the privilege to practice within a remote state;
- (6) pay any applicable fees, including any state fee, for the privilege to practice;
- (7) meet any continuing competence or education requirements established by the home state;
- (8) meet any jurisprudence requirements established by the remote state in which the licensee is seeking a privilege to practice; and
- (9) report to the commission any adverse action, encumbrance or restriction on license taken by any nonmember state within thirty days from the date the action is taken.
- B. The privilege to practice is valid until the expiration date of the home state license. The licensee must .228738.1

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comply with the requirements of Subsection A of this section to maintain the privilege to practice in the remote state.

- C. A licensee providing professional counseling in a remote state under the privilege to practice shall adhere to the laws and regulations of the remote state.
- A licensee providing professional counseling services in a remote state is subject to that state's regulatory authority. A remote state may, in accordance with due process and that state's laws, remove a licensee's privilege to practice in the remote state for a specific period of time, impose fines or take any other necessary actions to protect the health and safety of its citizens. The licensee may be ineligible for a privilege to practice in any member state until the specific time for removal has passed and all fines are paid.
- If a home state license is encumbered, the Ε. licensee shall lose the privilege to practice in any remote state until the following occur:
- the home state license is no longer encumbered; and
- the licensee has not had any encumbrance (2) or restriction against any license or privilege to practice within the previous two years.
- Once an encumbered license in the home state is restored to good standing, the licensee shall meet the .228738.1

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requirements of Subsection A of this section in order to obtain a privilege to practice in any remote state.

- G. If a licensee's privilege to practice in any remote state is removed, the individual may lose the privilege to practice in all other remote states until the following occur:
- the specific period of time for which the (1) privilege to practice was removed has ended;
 - all fines have been paid; and (2)
- the licensee has not had any encumbrance (3) or restriction against any license or privilege to practice within the previous two years.
- Once the requirements of Subsection G of this section have been met, the licensee shall meet the requirements in Subsection A of this section in order to obtain a privilege to practice in a remote state.

SECTION 5 -- OBTAINING A NEW HOME STATE LICENSE BASED ON A PRIVILEGE TO PRACTICE

- A licensed professional counselor may hold a home state license, which allows for a privilege to practice in other member states, in only one member state at a time.
- If a licensed professional counselor's primary state of residence changes due to moving between two member states:
- the licensed professional counselor shall .228738.1

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file an application for obtaining a new home state license based on a privilege to practice; pay all applicable fees; and notify the current and new home state in accordance with applicable rules adopted by the commission;

- (2) upon receipt of an application for obtaining a new home state license by virtue of a privilege to practice, the new home state shall verify that the licensed professional counselor meets the pertinent criteria outlined in Section 4 of this compact via the data system, without need for primary source verification except for:
- (a) a federal bureau of investigation fingerprint-based criminal background check if not previously performed or updated pursuant to applicable rules adopted by the commission in accordance with Public Law 92-544;
- (b) other criminal background checks as required by the new home state; and
- (c) completion of any requisite jurisprudence requirements of the new home state;
- the former home state shall convert the former home state license into a privilege to practice once the new home state has activated the new home state license in accordance with applicable rules adopted by the commission;
- (4) notwithstanding any other provision of this compact, if the licensed professional counselor cannot meet the criteria established in Section 4 of this compact, the .228738.1

new home state may apply its requirements for issuing a new single state license; and

- (5) the licensed professional counselor shall pay all applicable fees to the new home state in order to be issued a new home state license.
- C. If a licensed professional counselor changes primary state of residence by moving from a member state to a nonmember state, or from a nonmember state to a member state, the state criteria shall apply for issuance of a single state license in the new state.
- D. Nothing in this compact shall interfere with a licensee's ability to hold a single state license in multiple states; however, for the purposes of this compact, a licensee shall have only one home state license.
- E. Nothing in this compact shall affect the requirements established by a member state for the issuance of a single state license.

SECTION 6 -- ACTIVE DUTY MILITARY MEMBERS OR THEIR SPOUSES

An active duty military member, or the member's spouse, shall designate a home state where the member or member's spouse has a current license in good standing. The member or member's spouse may retain the home state designation during the period the member is on active duty. Subsequent to designating a home state, the member or member's spouse shall only change the member's or member's spouse's home state

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through application for licensure in the new state, or through the process outlined in Section 5 of this compact.

SECTION 7 -- COMPACT PRIVILEGE TO PRACTICE TELEHEALTH

- A. Member states shall recognize the right of a licensed professional counselor, licensed by a home state in accordance with Section 3 of this compact and under rules promulgated by the commission, to practice professional counseling in any member state via telehealth under a privilege to practice as provided in the compact and rules promulgated by the commission.
- B. A licensee providing professional counseling services in a remote state under the privilege to practice shall adhere to the laws and regulations of the remote state.

SECTION 8 -- ADVERSE ACTIONS

- A. In addition to the other powers conferred by state law:
- (1) a remote state shall have the authority, in accordance with existing state due process law, to take adverse action against a licensed professional counselor's privilege to practice within that member state;
- (2) a remote state shall have the authority, in accordance with existing state due process law, to issue subpoenas for both hearings and investigations that require the attendance and testimony of witnesses as well as the production of evidence. Subpoenas issued by a licensing board in a member .228738.1

state for the attendance and testimony of witnesses or the production of evidence from another member state shall be enforced in the latter state by any court of competent jurisdiction, according to the practice and procedure of that court applicable to subpoenas issued in proceedings pending before it. The issuing authority shall pay any witness fees, travel expenses, mileage and other fees required by the service statutes of the state in which the witnesses or evidence is located; and

- (3) the home state shall have the sole power to take adverse action against a licensed professional counselor's license issued by the home state.
- B. For purposes of taking adverse action, the home state shall give the same priority and effect to reported conduct received from a member state as it would if the conduct had occurred within the home state. In so doing, the home state shall apply its own state laws to determine appropriate action.
- C. The home state shall complete any pending investigations of a licensed professional counselor who changes the primary state of residence during the course of the investigations. The home state shall also have the authority to take appropriate action and shall promptly report the conclusions of the investigations to the administrator of the data system. The administrator of the coordinated licensure .228738.1

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information system shall promptly notify the new home state of any adverse actions.

- A member state, if otherwise permitted by state law, may recover from the affected licensed professional counselor the costs of investigations and dispositions of cases resulting from any adverse action taken against that licensed professional counselor.
- A member state may take adverse action based on the factual findings of the remote state; provided that the member state follows its own procedures for taking the adverse action.
- Joint investigations shall be conducted as follows:
- (1) in addition to the authority granted to a member state by its respective professional counseling practice act or other applicable state law, any member state may participate with other member states in joint investigations of licensees; and
- member states shall share any investigative, litigation or compliance materials in furtherance of any joint or individual investigation initiated under the compact.
- If adverse action is taken by the home state against the license of a licensed professional counselor, the licensed professional counselor's privilege to practice in all .228738.1

other member states shall be deactivated until all encumbrances have been removed from the state license. All home state disciplinary orders that impose adverse action against the license of a licensed professional counselor shall include a statement that the licensed professional counselor's privilege to practice is deactivated in all member states during the pendency of the order.

- H. If a member state takes adverse action, it shall promptly notify the administrator of the data system. The administrator of the data system shall promptly notify the home state of any adverse actions by remote states.
- I. Nothing in this compact shall override a member state's decision that participation in an alternative program may be used in lieu of adverse action.

SECTION 9 -- ESTABLISHMENT OF COUNSELING COMPACT COMMISSION

- A. The compact member states shall create and establish a joint public agency known as the "counseling compact commission" as follows:
- (1) the counseling compact commission shall be an instrumentality of the compact states;
- (2) venue is proper and judicial proceedings by or against the commission shall be brought solely and exclusively in a court of competent jurisdiction where the principal office of the commission is located. The counseling compact commission may waive venue and jurisdictional defenses .228738.1

1	to the extent it adopts or consents to participate in
2	alternative dispute resolution proceedings; and
3	(3) nothing in this compact shall be construed
4	to be a waiver of sovereign immunity.
5	B. Membership, voting and meetings shall be
6	conducted as follows:
7	(1) each member state shall have one delegate
8	selected by that member state's licensing board;
9	(2) a delegate shall be:
10	(a) a current member of the licensing
11	board at the time of appointment, who is a licensed
12	professional counselor or public member; or
13	(b) an administrator of the licensing
14	board;
15	(3) a delegate may be removed or suspended
16	from office as provided by the law of the state from which the
17	delegate is appointed;
18	(4) a vacancy occurring on the commission
19	shall be filled by the member state licensing board within
20	sixty days;
21	(5) a delegate shall be entitled to one vote
22	with regard to the promulgation of rules and creation of bylaws
23	and have an opportunity to participate in the business and
24	affairs of the commission;
25	(6) a delegate shall vote in person or by
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1	other means as provided in the bylaws, including participation
2	in meetings by telephone or other means of communication;
3	(7) the commission shall meet at least once
4	during each calendar year, with additional meetings to be held
5	as set forth in the bylaws; and
6	(8) the commission shall by rule establish a
7	term of office for delegates and may by rule establish term
8	limits.
9	C. The commission shall have the following powers
10	and duties:
11	(l) establish the fiscal year of the
12	commission;
13	(2) establish bylaws;
14	(3) maintain its financial records in
15	accordance with the bylaws;
16	(4) meet and take such actions as are
17	consistent with the provisions of this compact and the bylaws;
18	(5) promulgate rules, which shall be binding
19	to the extent and in the manner provided for in the compact;
20	(6) bring and prosecute legal proceedings or
21	actions in the name of the commission; provided that the
22	standing of any licensing board to sue or be sued under
23	applicable law shall not be affected;
24	(7) purchase and maintain insurance and bonds;
25	(8) borrow, accept or contract for services of
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personnel, including employees of a member state;

- (9) hire employees; elect or appoint officers; fix compensation; define duties; grant such individuals appropriate authority to carry out the purposes of the compact; and establish the commission's personnel policies and programs relating to conflicts of interest, qualifications of personnel and other related personnel matters;
- (10) accept any and all appropriate donations and grants of money, equipment, supplies, materials and services and receive, utilize and dispose of the same; provided that at all times the commission shall avoid any appearance of impropriety or conflict of interest;
- lease, purchase, accept appropriate gifts or donations of, or otherwise own, hold, improve or use, any property, real, personal or mixed; provided that at all times the commission shall avoid any appearance of impropriety;
- (12) sell, convey, mortgage, pledge, lease, exchange, abandon or otherwise dispose of any property, real, personal or mixed;
 - establish a budget and make expenditures;
 - (14)borrow money;
- appoint committees, including standing (15)committees composed of members, state regulators, state legislators or their representatives, consumer representatives and other interested persons as designated in this compact and .228738.1

1	the bylaws;					
2	(16) provide and receive information from, and					
3	cooperate with, law enforcement agencies;					
4	(17) establish and elect an executive					
5	committee; and					
6	(18) perform such other functions as necessary					
7	or appropriate to achieve the purposes of this compact					
8	consistent with the state regulation of professional counseling					
9	licensure and practice.					
10	D. The executive committee shall:					
11	(1) have the power to act on behalf of the					
12	commission according to the terms of this compact;					
13	(2) be composed of up to eleven members,					
14	including:					
15	(a) an even number of voting members who					
16	are elected by the commission from the current membership of					
17	the commission;					
18	(b) up to four ex-officio, nonvoting					
19	members from four recognized national professional counselor					
20	organizations; and					
21	(c) ex-officio members shall be selected					
22	by their respective organizations;					
23	(3) the commission may remove a member of the					
24	executive committee as provided in the bylaws;					
25	(4) meet at least annually; and					
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(5)	have	the	following	duties	and
responsibilities:					

- (a) recommend changes to the commission regarding the rules or bylaws, changes to this compact legislation, fees paid by compact member states such as annual dues and any commission compact fee charged to licensees for the privilege to practice;
- (b) ensure compact administration services are appropriately provided, contractual or otherwise;
 - (c) prepare and recommend the budget;
- (d) maintain financial records on behalf of the commission;
- (e) monitor compact compliance of member states and provide compliance reports to the commission;
- (f) establish additional committees as necessary; and
- (g) perform additional duties as provided in rules or bylaws.
- E. Meetings of the commission, the executive committee or other committees:
- (1) shall be open to the public, and public notice of meetings shall be given in the same manner as required under the rulemaking provisions in Section 11 of this compact; and
- (2) may convene in a closed, nonpublic meeting .228738.1

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if any of the following matters will be discussed:
(a) the noncompliance of a member state
with its obligations under the compact;
(b) the employment, compensation,
discipline or other matters, practices or procedures related to
specific employees or other matters related to the commission's
internal personnel practices and procedures;
(c) current, threatened or reasonably
anticipated litigation;
(d) negotiation of contracts for the
purchase, lease or sale of goods, services or real estate;
(e) accusations of a crime or formal
censure of a person;
(f) disclosure of trade secrets or
commercial or financial information that is privileged or
confidential;
(g) disclosure of information of a
personal nature where disclosure would constitute a clearly
unwarranted invasion of personal privacy;
(h) disclosure of investigative records
compiled for law enforcement purposes;
(i) disclosure of information related to
any investigative reports prepared by or on behalf of or for
use of the commission or other committee charged with

responsibility of investigation or determination of compliance

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issues pursuant to the compact; or

- (i) matters specifically exempted from disclosure by federal or member state statute;
- if fully or partially closed pursuant to Paragraph (2) of this subsection, the commission's legal counsel or designee shall reference each relevant exempting provision and certify that the meeting may be closed; and
- shall be memorialized with minutes that (4) fully and clearly describe all matters discussed in a meeting and provide a full and accurate summary of action taken and reasons for taking action, including a description of the views expressed; and identify all documents considered in connection with an action taken; provided that all minutes and documents of a closed meeting shall remain under seal, subject to release by a majority vote of the commission or order of a court of competent jurisdiction.
- Financing of the commission shall be carried out as follows:
- the commission shall pay, or provide for the payment of, the reasonable expenses of its establishment, organization and ongoing activities;
- the commission may accept any and all (2) appropriate revenue sources, donations and grants of money, equipment, supplies, materials and services;
- the commission may levy on and collect an .228738.1

annual assessment from each member state or impose fees on other parties to cover the cost of the operations and activities of the commission and its staff, in an amount sufficient to cover its annual budget as approved each year for which revenue is not provided by other sources. The aggregate annual assessment amount shall be allocated based upon a formula to be determined by the commission by rule, which shall be binding upon all member states;

- (4) the commission shall not incur obligations prior to securing the funds adequate to meet the obligations or pledge the credit of any of the member states, absent the authority of the member state; and
- (5) the commission shall keep accurate accounts of all receipts and disbursements. The receipts and disbursements of the commission shall be subject to the audit and accounting procedures established under its bylaws. However, all receipts and disbursements of funds handled by the commission shall be audited yearly by a certified or licensed public accountant, and the report of the audit shall be included in and become part of the annual report of the commission.
- G. Qualified immunity, defense and indemnification shall apply as follows:
- (1) the members, officers, executive director, employees and representatives of the commission shall be immune .228738.1

from suit and liability, either personally or in their official capacity, for any claim for damage to or loss of property or personal injury or other civil liability caused by or arising out of any actual or alleged act, error or omission that occurred, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of commission employment, duties or responsibilities; provided that nothing in this paragraph shall be construed to protect any such person from suit or liability for any damage, loss, injury or liability caused by the intentional or willful or wanton misconduct of that person;

officer, executive director, employee or representative of the commission in any civil action seeking to impose liability arising out of any actual or alleged act, error or omission that occurred within the scope of commission employment, duties or responsibilities, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of commission employment, duties or responsibilities; provided that nothing in this paragraph shall be construed to prohibit that person from retaining his or her own counsel; and provided further that the actual or alleged act, error or omission did not result from that person's intentional or willful or wanton misconduct; and

(3) the commission shall indemnify and hold .228738.1

harmless any member, officer, executive director, employee or representative of the commission for the amount of any settlement or judgment obtained against that person arising out of any actual or alleged act, error or omission that occurred within the scope of commission, employment, duties or responsibilities, or that such person had a reasonable basis for believing occurred within the scope of commission employment, duties or responsibilities; provided that the actual or alleged act, error or omission did not result from the intentional or willful or wanton misconduct of that person.

SECTION 10 -- DATA SYSTEM

- A. The commission shall provide for the development, maintenance, operation and utilization of a coordinated database and reporting system containing licensure, adverse action and investigative information on all licensees in member states.
- B. Unless prohibited by state law, a member state shall submit a uniform data set to the data system on all individuals to whom this compact is applicable as required by the rules of the commission, including:
 - (1) identifying information;
 - (2) licensure data;
- (3) adverse actions against a license or privilege to practice;
- (4) non-confidential information related to .228738.1

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- (5) any denial of application for licensure and the reasons for such denial;
- (6) current significant investigative information; or
- (7) other information that may facilitate the administration of this compact, as determined by the rules of the commission.
- C. Investigative information pertaining to a licensee in a member state is only available to other member states.
- The commission shall promptly notify all member states of an adverse action taken against a licensee or an individual applying for a license. Adverse action information pertaining to a licensee in a member state is available to any other member state.
- Member states contributing information to the data system may designate information that may not be shared with the public without the express permission of the contributing state.
- Any information submitted to the data system that is subsequently required to be expunged by the laws of the member state contributing the information shall be removed from the data system.

SECTION 11 -- RULEMAKING

- A. The commission shall promulgate reasonable rules in order to effectively and efficiently achieve the purpose of the compact. If the commission exercises its rulemaking authority in a manner that is beyond the scope of the purposes of the compact or the powers granted pursuant to the compact, then such an action by the commission shall be invalid and have no force or effect.
- B. The commission shall exercise its rulemaking powers pursuant to the criteria set forth in this section and the rules adopted pursuant to the compact. Rules and amendments shall become binding as of the date specified in each rule or amendment.
- C. If a majority of the legislatures of the member states rejects a rule, by enactment of a statute or resolution in the same manner used to adopt the compact within four years of the date of adoption of the rule, then such rule shall have no further force and effect in any member state.
- D. Rules or amendments to the rules shall be adopted at a regular or special meeting of the commission.
- E. Prior to promulgation and adoption of a final rule by the commission, and at least thirty days in advance of the meeting at which the rule will be considered and voted upon, the commission shall file a notice of proposed rulemaking:
- (1) on the website of the commission or other .228738.1

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publicly accessible platform; and

- on the website of each member state licensing board, other publicly accessible platform or the publication in which each state would otherwise publish proposed rules.
 - The notice of proposed rulemaking shall include:
- (1) the proposed time, date and location of the meeting in which the rule will be considered and voted upon;
- the text of the proposed rule or amendment (2) and the reason for the proposed rule;
- a request for comments on the proposed rule from any interested person; and
- the manner in which interested persons may (4) submit notice to the commission of their intention to attend the public hearing and any written comments.
- Prior to adoption of a proposed rule, the commission shall allow persons to submit written data, facts, opinions and arguments, which shall be made available to the public.
- The commission shall grant an opportunity for a Η. public hearing before it adopts a rule or amendment if a hearing is requested by:
 - (1) at least twenty-five persons;
 - (2) a state or federal governmental

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subdivision or agency; or

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- an association having at least twenty-five members.
- If a hearing is held on the proposed rule or amendment, the commission shall publish the place, time and date of the scheduled public hearing. If the hearing is held via electronic means, the commission shall publish the mechanism for access to the electronic hearing to ensure the following:
- (1) all persons wishing to be heard at the hearing shall notify the executive director of the commission or other designated member in writing of their desire to appear and testify at the hearing not less than five business days before the scheduled date of the hearing;
- hearings shall be conducted in a manner (2) providing each person who wishes to comment a fair and reasonable opportunity to comment orally or in writing;
- all hearings will be recorded, and a copy of the recording will be made available on request; and
- nothing in this section shall be construed as requiring a separate hearing on each rule, and rules may be grouped for the convenience of the commission at hearings required by this section.
- Following the scheduled hearing date, or by the close of business on the scheduled hearing date if the hearing .228738.1

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was not held, the commission shall consider all written and oral comments received.

- If no written notice of intent to attend the public hearing by interested parties is received, the commission may proceed with promulgation of the proposed rule without a public hearing.
- The commission shall, by majority vote of all members, take final action on the proposed rule and shall determine the effective date of the rule, if any, based on the rulemaking record and the full text of the rule.
- Upon determination that an emergency exists, the commission may consider and adopt an emergency rule without prior notice, opportunity for comment or hearing; provided that the usual rulemaking procedures provided in the compact and in this section shall be retroactively applied to the rule as soon as reasonably possible and later than ninety days after the effective date of the rule. For the purposes of this provision, an emergency rule is one that must be adopted immediately in order to:
- meet an imminent threat to public health, safety or welfare;
- prevent a loss of commission or member (2) state funds;
- meet a deadline for the promulgation of an (3) administrative rule that is established by federal law or rule; .228738.1

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protect public health and safety.

N. The commission or an authorized committee of the commission may direct revisions to a previously adopted rule or amendment for purposes of correcting typographical errors, errors in format, errors in consistency or grammatical errors. Public notice of any revisions shall be posted on the website of the commission. The revision shall be subject to challenge by any person for a period of thirty days after posting. revision may be challenged only on grounds that the revision results in a material change to a rule. A challenge shall be made in writing and delivered to the chair of the commission prior to the end of the notice period. If no challenge is made, the revision shall take effect without further action. If the revision is challenged, the revision may not take effect without the approval of the commission.

SECTION 12 -- COMPACT OVERSIGHT, DISPUTE RESOLUTION AND ENFORCEMENT

Compact oversight shall be carried out as follows:

the executive, legislative and judicial (1) branches of state government in each member state shall enforce this compact and take all necessary and appropriate actions necessary to effectuate the compact's purposes and intent. provisions of this compact and the rules promulgated hereunder .228738.1

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shall have standing as statutory law;

- all courts shall take judicial notice of the compact and the rules in any judicial or administrative proceeding that may affect the powers, responsibilities or actions of the commission in a member state pertaining to the subject matter of this compact; and
- the commission shall be entitled to (3) receive service of process in such proceedings and shall have standing to intervene in such a proceeding for all purposes. Failure to provide service of process to the commission shall render a judgment or order void as to the commission, this compact or promulgated rules.
- Default, technical assistance and member state termination shall be managed as follows:
- if the commission determines that a member (1) state has defaulted in the performance of its obligations or responsibilities under this compact or the promulgated rules, the commission shall provide:
- (a) written notice to the defaulting member state and other member states of the nature of the default, the proposed means of curing the default or any other action to be taken by the commission; and
- remedial training and specific (b) technical assistance regarding the default.
- If a member state is in default of the compact .228738.1

and fails to cure the default, the defaulting member state may be terminated from the compact upon an affirmative vote of a majority of the member states, and all rights, privileges and benefits conferred by this compact may be terminated on the effective date of termination. A cure of the default does not relieve the defaulting member state of obligations or liabilities incurred during the period of default.

- D. Termination of compact membership shall be imposed only after all other means of securing compliance have been exhausted. Notice of intent to suspend or terminate shall be given by the commission to the governor, the majority and minority leaders of the defaulting member state's legislature and each of the member states.
- E. A state that has been terminated from the compact is responsible for all assessments, obligations and liabilities incurred through the effective date of termination, including obligations that extend beyond the effective date of termination.
- F. The commission shall not bear any costs related to a state that is found to be in default or that has been terminated from the compact, unless agreed upon in writing between the commission and the defaulting state.
- G. The defaulting state may appeal the action of the commission by petitioning the United States district court for the District of Columbia or the federal district where the .228738.1

commission has its principal offices. The prevailing party shall be awarded all costs of such litigation, including reasonable attorney fees.

- H. Dispute resolution shall be conducted as follows:
- (1) upon request by a member state, the commission shall attempt to resolve disputes related to the compact that arise among member states and between member and nonmember states; and
- (2) the commission shall promulgate a rule providing for both mediation and binding dispute resolution for disputes as appropriate.
 - I. Enforcement shall be carried out as follows:
- (1) the commission, in the reasonable exercise of its discretion, shall enforce the provisions and rules of this compact;
- (2) by majority vote, the commission may initiate legal action in the United States district court for the District of Columbia or the federal district where the commission has its principal offices against a member state in default to enforce compliance with the provisions of the compact and its promulgated rules and bylaws. The relief sought may include both injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing party shall be awarded all costs of such litigation, including

reasonable attorney fees; and

(3) the remedies in this subsection shall not be the exclusive remedies of the commission, and the commission may pursue any other remedies available under federal or state law.

SECTION 13 -- DATE OF IMPLEMENTATION OF THE COUNSELING COMPACT COMMISSION AND ASSOCIATED RULES, WITHDRAWAL AND AMENDMENT

A. The compact shall come into effect on the date on which the compact statute is enacted into law by the tenth member state. The provisions, which become effective at that time, shall be limited to the powers granted to the commission relating to assembly and the promulgation of rules.

Thereafter, the commission shall meet and exercise rulemaking powers necessary for the implementation and administration of the compact.

- B. Any state that joins the compact subsequent to the commission's initial adoption of the rules shall be subject to the rules as they exist on the date on which the compact becomes law in that state. Any rule that has been previously adopted by the commission shall have the full force and effect of law on the day the compact becomes law in that state.
- C. To withdraw from this compact, a member state shall enact a statute repealing this statute, and withdrawal:
- (1) shall take effect six months after the enactment of the repealing statute; and

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- (2) shall not affect the continuing requirement of the withdrawing state's licensing board to comply with the investigative and adverse action reporting requirements of the compact prior to the effective date of withdrawal.
- D. Nothing contained in this compact shall be construed to invalidate or prevent any professional counseling licensure agreement or other cooperative arrangement between a member state and a nonmember state that does not conflict with the provisions of this compact.
- E. This compact may be amended by the member states. The amendment shall become effective and binding upon a member state when it is enacted into the laws of all member states.

SECTION 14 -- CONSTRUCTION AND SEVERABILITY

This compact shall be liberally construed to effectuate its purposes. The provisions of this compact shall be severable, and if any part of this compact is declared to be contrary to the constitution of any member state or of the United States or its applicability to any government, agency, person or circumstance is held invalid, the validity of the remainder of this compact and its applicability to any government, agency, person or circumstance shall not be affected. If this compact is held contrary to the constitution of any member state, the compact shall remain in full force and .228738.1

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effect as to the remaining member states and in full force and effect as to the member state affected as to all severable matters.

SECTION 15 -- BINDING EFFECT OF COMPACT AND OTHER LAWS

- A licensee providing professional counseling services in a remote state under the privilege to practice shall adhere to the laws and regulations, including scope of practice, of the remote state.
- Nothing in this compact prevents the enforcement of any other law of a member state that is consistent with the compact.
- Any laws in a member state in conflict with the compact are superseded to the extent of the conflict.
- Any lawful actions of the commission, including all rules and bylaws properly promulgated by the commission, are binding upon the member states.
- All permissible agreements between the commission and the member states are binding in accordance with their terms.
- In the event any provision of the compact exceeds the constitutional limits imposed on the legislature of any member state, the provision shall be ineffective to the extent of the conflict with the constitutional provision in question in that member state.".